# **Research Article**



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# Predictors of therapeutic failure among naïve patients with pulmonary tuberculosis: contribution of diabetes mellitus and metformin therapy

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# Abstract

**Background:** The rising epidemic of diabetes mellitus (DM) can increase the global burden of tuberculosis (TB). Our research hypothesis is that comorbidities (noninfectious and infectious) can affect the therapeutic response to antituberculosis therapy (ATT). The aim of this study is to determine the frequency of therapeutic failure among the naïve patients with pulmonary TB and to explore the predictors of therapeutic failure.

Patients and methods: The study included 483 consecutive, naïve, patients with pulmonary TB. The diagnosis of pulmonary TB relied on positive culture of sputum. For all the study population, clinical evaluation, imaging studies (including chest radiography), and laboratory investigations (including microscopic examination for urine and stool) were conducted. All the enrolled patients received the standard of care ATT therapy.

**Results:** The mean age of the study population was 37 ± 12.5 years; 53.8% of them were males. Therapeutic failure developed in 31.7% of the patients; 17% of the patients had adverse effects. Diabetes mellitus, helminth infection, tobacco smoking, and lack of metformin therapy were the predictors of therapeutic failure.

**Conclusions:** In conclusion, the therapeutic failure rate of ATT among the Egyptian, naïve patients with pulmonary TB is increasing. The predictors of therapeutic failure include DM, lack of metformin use, helminth infection, and tobacco smoking.

# Introduction

Tuberculosis (TB), with an estimated incidence of 10.4 million new cases and 1.5 million deaths, is considered as a global, major, public health problem [1]. Cure rates, ranging between 90% and 95%, are expected to be achieved using the standard of care antituberculosis therapy (ATT) (isoniazid (INH), rifampin (RIF), pyrazinamide (PZA), and ethambutol (EMB), for 2 months, followed by INH and RIF, for 4 months) [2]. Monthly sputum cultures are recommended for monitoring the therapeutic response of the patients with pulmonary (TB), until they achieve two consecutive negative culture results by the end of therapy [3]. Therapeutic failure of pulmonary TB is defined as positive sputum culture at the fourth month or later during treatment [4]. Culture is considered as the reference diagnostic tool of TB [5].

The growing epidemic of diabetes mellitus (DM) may lead to a rise in the number of patients from 415 million in 2015 to 642 million by 2040, world-wide [6]. Thus, co-existence of TB and DM is not uncommon. Patients with DM have a two to four-fold increased risk of developing active TB [7-9]. In addition, DM has impact on clinical presentation and course of TB; cavitary pulmonary TB and TB-related mortality are more frequent among TB patients with DM compared to TB without DM [10,11]. Regarding its effect on therapeutic outcome of

TB, DM leads to delayed conversion of sputum culture, increased risk of therapeutic failure failure, relapse, and mortality [12]. Subsequently, the rising epidemic of DM can increase the global burden of TB.

Metabolic similarity exists between DM and TB with common underlying hyperglycemia, which can be transiently induced by fever in TB, elevated serum levels of pro-inflammatory cytokines, and a state of oxidative stress [13,14]. Thus, the use of metformin-augmented ATT may enhance the therapeutic response among patients with TB, even among the nondiabetic patients [15,16]. Our research hypothesis is that comorbidities (noninfectious and infectious) can affect the therapeutic response to ATT. The aim of this study is to determine the frequency of therapeutic failure among the naïve patients with pulmonary TB and to explore the predictors of therapeutic failure.

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# **Patients and Methods**

# Study design

A hospital-based, prospective, cohort study was conducted.

#### **Study location**

The study population was recruited from patients attending the outpatient clinics and admitted to the inpatient sectors of the Department of Chest Disease, the Department of Tropical Medicine and Gastroenterology, and the Department of Internal Medicine.

#### **Study duration:**

The study population was recruited during the period from March 2015 to December 2017.

#### Inclusion criteria:

The study included 500 consecutive, naïve, patients with pulmonary TB. The diagnosis of pulmonary TB relied on positive culture of sputum (on Löwenstein-Jensen medium) performed by an experienced microbiologist.

#### **Exclusion criteria:**

Patients less than 18 years old and pregnant patients were excluded from the study. In addition, patients with clinical, radiological, and/ or microbiological evidence of extrapulmonary TB, and those who received any antimicrobial therapy after the onset of illness were not included.

#### Methods

For all the study population, clinical evaluation (medical history and physical examination with estimation of weight and height), imaging studies (including chest radiography and abdominal ultrasonography), and laboratory investigations were conducted. The use of acid suppressive, corticosteroid, immunosuppressant, and antineoplastic therapies was considered significant if it was for two weeks or more during the period of receiving treatment for acute/ subacute brucellosis. Overweight was defined as a BMI of 25 kg/m<sup>2</sup> to <30 kg/m<sup>2</sup>, while obesity was defined as a BMI of  $\geq$  30 kg/m<sup>2</sup>. Long term use of immunosuppressive therapy (including corticosteroids and antineoplastic drugs) and acid suppressive therapy was defined as their use of one month or more during receiving ATT.

Laboratory investigations included microscopic examination of urine and stool by an experienced parasitologist, estimation of fasting serum level of glucose, liver chemistry panel, virology panel, kidney chemistry panel, and complete blood count. Diabetes mellitus (DM) was defined as serum level of glucose of 7 mmol/L or more. Liver chemistry panel included estimation of serum levels of alanine aminotransferase (ALT), aspartate aminotransferase (AST), alkaline phosphatase, bilirubin, and albumin. Virology panel included testing for serum antibody to hepatitis C virus (anti-HCV Ab), hepatitis B surface antigen (HBsAg), antibody to hepatitis B core (anti-HBc Ab), and antibody to human immunodeficiency virus (Anti-HIV Ab). Liver cirrhosis was diagnosed based on the compatible clinical, imaging, and laboratory criteria.

All the enrolled patients received the standard of care ATT therapy: INH (5 mg/kg/day; the maximum dose was 300 mg/day), RIF (10 mg/kg/day; the maximum dose was 600 mg/day), PZA (30 mg/kg/day; the maximum dose was 2000 mg/day), and EMB (20 mg/kg/day; the

maximum dose was 1600 mg/day) for two months, followed by INH and RIF for extra four months [17]. They also received pyridoxine (vitamin B6, 50 mg/day) during the entire treatment period for prophylaxis against isoniazid-related peripheral neuropathy [18].

For follow up, clinical evaluation and laboratory investigations were provided. Clinical evaluation on daily basis while admitted to the inpatient sectors, followed by clinical evaluation and laboratory investigations (liver chemistry panel) on weekly basis at the outpatient clinics for the first two months of therapy, and then monthly for rest of the period, were carried out. At the second month and the fourth month of therapy, sputum culture on Löwenstein-Jensen medium was performed for all the patients. Therapeutic failure of ATT was defined as positive sputum culture at the fourth month [4].

Immediate discontinuation of RIF and PZA for the patients who had elevated serum levels of ALT and/or AST (three times the upper limit of normal (ULN) or more with symptoms or five times the ULN with or without symptoms) during the first two months of ATT was carried out. Streptomycin (SM) was used with INH and EMB until resumption of RIF and PZA after decline of ALT and/or AST serum levels to less than two times the ULN. The dose of SM was 15 mg/kg/ day by intramuscular injection, with a maximum daily dose of 1000 mg. For patients with the same criteria but after the first two months of ATT, RIF was replaced by EMB temporarily until it was resumed according to the previously mentioned rules [17].

#### Statistical analysis

Data were analyzed using the Statistical Package for Social Sciences (IBM SPSS Statistics, version 22.0, release 22.0.0.0; IBM Corp, Armonk, New York, US) for Microsoft Windows<sup>\*</sup> (64-bit version). Results were expressed as mean  $\pm$  standard deviation or frequency (percentage) as appropriate. Student's t-test or Mann-Whitney U test, and Yates' corrected chi-squared test or Fischer's exact test as appropriate were used to compare the variables between the study groups. Multivariate analysis (binary logistic regression) was used to assess the independent effect of each predictor. Multivariate analysis included significant factors with p < 0.05 in the univariate analyses.

The software  $G^*Power version 3.1.9.2$  was used for a post hoc power analysis of the performed chi-square tests. An arbitrary effect size was chosen for the power analysis, which precisely was a Cohen's w statistic of 0.3. This value conventionally corresponds to a medium sized effect. The power achieved was 0.84.

#### **Ethical considerations**

The study was conducted after approval of the Clinical Research Ethical Committee of Assiut Faculty of Medicine and was carried out according to the code of ethics of the World Medical Association (Declaration of Helsinki). All the participants signed a consent certificate after discussing in detail with the investigators the certificate subjects and the study aim. Participants were clearly informed that refusing to participate in the study will not affect having full benefit of the available medical service. Data confidentiality was respected.

### Results

After exclusion of 17 patients (3.4%), the study included 483 naïve patients with pulmonary TB. Among the patients excluded after the start of therapy, 11 were for inability to attend for follow up and six stopped treatment due to the adverse effects of the ATT (due to the hepatic adverse effects in four, vomiting in one, and pruritus in one).

The demographic, clinical, imaging, and laboratory characteristics of the study population are shown in Table 1. Their mean age was  $37 \pm 12.5$  years; 53.8% of them were males. Therapeutic response was achieved by 68.3% of the patients; 17% of the patients had adverse effects. Tobacco smoking was reported by 38.9% of the patients. Approximately, 31% of the patients had type-2 DM; 17.8% were using metformin therapy either as a monotherapy or included in combination therapy. Helminth infection was detected among 19.1% of the patients (ascariasis among 12.3%, ancylostomiasis among 8.5%, and schistosomiasis among 1.7%); infection with more than one helminth existed. Immunosuppressive therapy included corticosteroids and azathioprine, while acid suppressive therapy included omeprazole, famotidine, pantoprazole, esomeprazole, and ranitidine.

Table 2 shows the different types of adverse effects due to ATT among the study population with pulmonary TB. The most frequent one was elevated liver chemistry, which was detected among 9.7% of the patients. Among the study population with pulmonary TB, older age (equal to or more than 40 years old), tobacco smoking, long term immunosuppressive therapy, chronic obstructive pulmonary disease, DM, lack of metformin therapy, and helminth infection were significantly associated with therapeutic failure among the study population with pulmonary TB. Diabetes mellitus, helminth infection, tobacco smoking, and lack of metformin therapy were significantly, independently associated with therapeutic failure Therapeutic outcome among the study population is shown in figure 1.

Table 1. Demographic, clinical, imaging, and laboratory characteristics of the study population with pulmonary TB (n = 483).

Age (years)	37+12.5
Age >40 years	91 (18.8)
Gender (male)	260 (53.8)
Residence (rural)	323 (66 9)
Tahaaaa amaliina	188 (28.0)
Alcohol consumption	9 (1.9)
Therapeutic failure	153 (31.7)
Adverse effects	82 (17)
Long term immunosuppressive therapy	85 (17.6)
Long term acid suppressive therapy *	111 (23)
Fever	352 (72.9)
Hemoptysis	101 (20.9)
COPD	134 (27.7)
CHF	33 (6.8)
Obesity	76 (15.7)
DM	149 (30.9)
Metformin therapy	86 (17.8)
Cavitary pulmonary lesions	221 (45.8)
Pleural effusion	15 (3.1)
Anemia	130 (26.9)
Leukopenia	32 (6.6)
Renal insufficiency	43 (8.9)
Liver cirrhosis	39 (8.1)
Helminth infection **	92 (19.1)
Anti-HCV Ab	47 (9.7)
HBsAg	23 (4.8)
Anti-HIV Ab	1 (0.2)

TB: tuberculosis; n: number; COPD: chronic obstructive pulmonary disease; CHF: congestive heart failure; DM: diabetes mellitus; Anti-HCV Ab: antibody to hepatitis C virus; HBsAg: Hepatitis B surface antigen; Anti-HIV Ab: antibody to human immunodeficiency virus.

Data are presented as frequency (percentage), except for age which is presented as mean  $\pm$  standard deviation.

\* Immunosuppressive therapy included corticosteroid and antineoplastic therapy.

\*\* Helminth infection included ascariasis, ancylostomiasis, and schistosomiasis

Table 2. Adverse effects among the study population with pulmonary TB (n = 483).

Gastrointestinal		
Epigastric pain	43 (8.9)	
Nausea	29 (6)	
Vomiting	14 (2.9)	
Anorexia	13 (2.7)	
Hepatic		
Elevated liver chemistry	47 (9.7)	
Jaundice	6 (1.2)	
Dermatological		
Pruritus	25 (5.2)	
Musculoskeletal		
Myalgia	15 (3.1)	
Neurological		
Headache	41 (8.5)	
Insomnia	27 (5.6)	
TB: tuberculosis: n: number.	· · · · ·	

Data are presented as frequency (percentage)



Figure 1. Flow chart of the therapeutic outcome among the study population with pulmonary tuberculosis. TB: tuberculosis.

#### Discussion

In Egypt, we are facing an increasing rate of therapeutic failure among patients with pulmonary TB. Our study results revealed that the therapeutic failure rate among naïve patients with pulmonary TB is 31.7%. This rate is higher than the last reported rate among the Egyptian naïve patients with pulmonary TB (29.4%) [19]. This higher rate may be attributed to the higher frequency of DM among our study patients (30.9% vs 27.7%). A similar rate of therapeutic failure (30%) was reported among the Korean patients with pulmonary TB, through a retrospective study [20]. In addition to Mtb resistance to ATT, comorbidities seem to play a pivotal role in increasing the rate of therapeutic failure.

One of such comorbidities, DM, was a predictor of therapeutic failure among our study population. Several studies reported such an association with OR ranging between 2.5 and 6.7 [19,21-23]. Diabetics have lower levels of interferon-gamma and interleukin-22, which are essential for TB defense [24,25]. Also, high insulin level is associated with decreased type-1 T-helper cells immunity through a reduction in the type-1 to type-2 T-helper cell ratio and interferon-gamma to interleukin-4 ratio [26]. In addition to the defect in cytokine secretion, there is a reduction in the capacity of the peripheral blood monocytes to bind and engulf Mtb among the patients with type-2 DM due to altered

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	Therapeutic failure $(n = 153)$	Therapeutic response $(n = 330)$	n
$\Lambda q_{2} > 10 y_{2} q_{2}$	41 (26 8)	50 (15 2)	P 0.020 ****
Gender (male)	79 (51.6)	181 (54 9)	0.528
Residence (rural)	117 (76 5)	206 (62 4)	0.211
Tobacco smoking	73 (47 7)	115 (34 9)	0.046 ****
	4(26)	5 (15)	0.097
	4 (2.0)	3 (1.5)	0.097
Long term immunosuppressive therapy *	37 (24.2)	48 (14.6)	0.040 ****
Long term acid suppressive therapy **	40 (26.1)	71 (21.5)	0.362
Fever	98 (64.1)	254 (77)	0.103
Hemoptysis	38 (24.8)	63 (19.1)	0.252
COPD	58 (37.9)	76 (23)	0.038 ****
CHF	12 (7.8)	21 (6.4)	0.501
Obesity	25 (16.3)	51 (15.5)	0.627
DM	66 (43.1)	83 (25.2)	0.002 ****
Metformin therapy	18 (11.8)	68 (20.6)	0.015 ****
Cavitary pulmonary lesions	87 (56.9)	134 (40.6)	0.066
Pleural effusion	6 (3.9)	9 (2.7)	0.118
Anemia	45 (29.4)	85 (25.8)	0.359
Leukopenia	13 (8.5)	19 (5.8)	0.102
Renal insufficiency	15 (9.8)	28 (8.5)	0.494
Liver cirrhosis	16 (10.5)	23 (7)	0.087
Helminth infection ***	43 (28.1)	49 (14.9)	0.001 ****
Anti-HCV Ab	12 (7.8)	35 (10.6)	0.109
HBsAg	7 (4.6)	16 (4.9)	0.613
Anti-HIV Ab	1 (0.07)	0	na
Adverse effects	29 (19)	53 (16.1)	0.218

Table 3. Factors associated with the rapeutic failure among the study population with pulmonary TB (n = 483).

TB: tuberculosis; n: number; COPD: chronic obstructive pulmonary disease; CHF: congestive heart failure; DM: diabetes mellitus; Anti-HCV Ab: antibody to hepatitis C virus; HBsAg: Hepatitis B surface antigen; Anti-HIV Ab: antibody to human immunodeficiency virus; na: not applicable.

Data are presented as frequency (percentage), except for age which is presented as mean ± standard deviation.

\* Immunosuppressive therapy included corticosteroid and antineoplastic therapy.
\*\* Helminth infection included ascariasis, ancylostomiasis, and schistosomiasis.

\*\*\* Statistically significant.

Table 4. Predictors of the rapeutic failure among the study population with pulmonary TB (n = 483).

	OR	95% CI	р
DM	5.6	2.1-24.8	0.007 **
Helminth infection *	4.3	1.8-32.5	0.011 **
Tobacco smoking	2.5	1.2-41.7	0.009 **
Metformin therapy	0.7	0.3-1.2	0.024 **
TB: tuberculosis; n: n mellitus.	umber; OR: odds ratio;	CI: confidence interva	al; DM: diabetes

\* Helminth infection included ascariasis, ancylostomiasis, and schistosomiasis.

\*\* Statistically significant.

complement pathway of opsonization [27]. Oral hypoglycemic drugsrifampin interaction leading to decreased absorption of rifampin may contribute to the therapeutic failure among the diabetic patients with pulmonary TB [28]. In the patients with DM, neutrophils have reduced chemotaxis and oxidative killing potential, and bactericidal activity [29,30].

While DM was a predictor of therapeutic failure among our study patients with pulmonary TB, the use of metformin therapy was found to be protective against therapeutic failure of ATT. It was reported that metformin use is protective against TB infection among patients with DM, irrespective of the dose [31]. Metformin has anti-inflammatory properties through the activation of adenosine monophosphate kinase, which promotes a switch from glycolysis to oxidative phosphorylation in response to low intracellular adenosine triphosphate [32]. This results in reduction of inflammatory cells proliferation burning glucose for energy (glycolysis), while promoting the noninflammatory cells burning fatty acids instead (fatty acid oxidation). Subsequently, inflammation is reduced through enhancing the formation of antiinflammatory M2 macrophages, and T-regulatory and CD8 memory T cells [33,34]. In addition, metformin promotes mitochondrial reactive oxygen species required for destruction of Mtb killing in Mtb-infected macrophages [35].

Co-infection with helminths can negatively affect the therapeutic response to ATT as shown by the results of our study. It was reported that ancylostomiasis resulted in significantly higher therapeutic failure rate among naïve patients with pulmonary TB [19]. Helminth infection reduces the immune response to the intracellular pathogens such as Mtb [36]; they enhance the cytokine response by type-2 T-helper cells, while they suppress type-1 T-helper cell response protecting against intracellular pathogens [37].

We found that tobacco smoking is another predictor of therapeutic failure among our study patients with pulmonary TB. Tobacco smoking is associated with a higher risk of infection with Mtb, development of active TB, TB-related mortality, poor therapeutic outcome, and recurrence of TB [38,39].

Our study lacks antimicrobial sensitivity testing. We did not have data about the precise dose of metformin and the daily number of cigarettes used, which is considered as another limitation of this study. However, this study included a large number of patients and is considered the first of its type among the Egyptian, naïve, patients with pulmonary TB. Hasanain AFA (2018) Predictors of therapeutic failure among naïve patients with pulmonary tuberculosis: contribution of diabetes mellitus and metformin therapy

## Conclusions

In conclusion, the therapeutic failure rate of ATT among the Egyptian, naïve patients with pulmonary TB is increasing. The predictors of therapeutic failure include DM, lack of metformin use, helminth infection, and tobacco smoking. Further studies to explore the potential mechanisms explaining the role of metformin in enhancing the therapeutic response of ATT are recommended.

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