

# Effect of a calorie-restricted, low-glycemic diet and exercise with omega-3 fatty acid and vitamin D supplementation on the risk of metabolic syndrome

Robert B Thomas, Amy Curtis, Laelie A Snook and Kelly A Meckling\*

Department of Human Health and Nutritional Sciences, University of Guelph, Guelph, Ontario, Canada

## Abstract

The Metabolic Syndrome (MetS) is of growing concern worldwide. Therefore, it is important to determine interventions that mitigate its risk factors. This pilot study investigated whether the supplementation of omega-3 fatty acids and vitamin D to a low glycemic index, moderate protein, calorie-restricted diet and regular exercise regimen could further improve the health benefits of the diet and exercise intervention alone. Following eight weeks of lifestyle modification, both groups demonstrated decreased body weight, blood pressure and body mass index, while only the supplemented group showed a decreased resting heart rate. There were no changes in fasting blood glucose, insulin or triacylglycerol with either treatment; however reduced HbA1c was observed only in the supplemented group. While neither heart rate nor HbA1c measures are specific risk factors for MetS, they both indicate improved metabolic health, suggesting that vitamin D and omega-3 supplementation in the background of healthy diet and exercise merits further investigation.

## Introduction

The prevalence of the Metabolic Syndrome (MetS) is nearing 30% worldwide [1]. The definition of MetS continues to evolve, but is associated with the dysregulation of metabolic measures associated with obesity [2]. Given the severity of the associated co-morbidities (stroke, type 2 diabetes), considerable effort is being made to reduce adiposity and risk factors of MetS [3,4].

Weight loss can be induced by diets consisting of: calorie restriction, low glycemic index, or high-protein [5-9]. Sufficient calorie restriction can cause endogenous fuel usage leading to weight loss [10]. Low glycemic index foods are more slowly absorbed, leading to prolonged insulin release [11,12], a tempered glucose response and improved TAG and cholesterol profiles [11]. Substituting carbohydrates with protein has been associated with improved glucose tolerance, blood pressure, and HDL-cholesterol [5,7,13-15], although a direct effect on MetS remains inconclusive [15].

Increased energy expenditure can also improve markers of MetS [16]. Exercise can cause weight loss with added benefits including: targeted abdominal fat mass loss [17], reduced blood lipids [18] and improved insulin sensitivity.

We have previously reported a diet and exercise intervention improves the symptoms of MetS [5]. However, what remains poorly understood is the effect of nutritional supplementation on this background, specifically omega-3 (n-3) and vitamin D. The TAG-lowering effect of n-3s is well-established [19-22]. In addition, n-3 supplementation can improve insulin sensitivity and glycemic control in rodent models [13,23], although equivocal in humans [24,25]. An inverse association between vit D status and abdominal adiposity has been demonstrated for hypertriglyceridemia and hyperglycemia [26,27], possibly by enhancing insulin release [26-29].

Individually, the above interventions improve risk factors of MetS. Therefore, we performed a pilot study to determine whether n-3 and vit

D supplementation with a hypocaloric, low-glycemic index, moderate protein diet [9] and exercise program could show even greater improvements on remediating the risk factors of MetS.

## Methods

### Recruitment and screening

This study was approved by the Research Ethics Board at the University of Guelph (REB# 11JA022) and registered as a clinical trial at clinicaltrials.gov (NCT01326442). Male and female participants between 18 and 65 years of age were recruited from April to July 2011 from the University of Guelph and the City of Guelph community. Recruitment materials consisted of advertisements in the local newspaper, online classified advertisements on kijiji.ca, posters in public places and doctor's offices. Study entry for participants was staggered and they were screened in batches monthly. Ninety-eight people expressed interest in participating via email or by telephone and were interviewed by the study coordinator and asked to complete an initial screening questionnaire. Of the original 98 interested participants, 55 qualified for an in-person screening session. At this appointment, potential participants completed a health questionnaire, and were provided with a detailed written explanation of the study protocol, including potential benefits and risks to themselves for participating [30]. Qualified personnel were available to answer any additional questions. After providing informed consent,

**Correspondence to:** Kelly A Meckling, PhD, Department of Human Health and Nutritional Sciences, University of Guelph, Guelph, Ontario, Canada, Tel: 5198244120/53742; Fax: 5197635902; E-mail: kmecklin@uoguelph.ca

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anthropomorphic measures (height, body weight, waist circumference, hip circumferences, body composition), blood parameters (fasting glucose, insulin, glycosylated hemoglobin (HbA1c), CRP, total cholesterol, HDL-cholesterol, and serum TG), resting heart rate and blood pressure and were measured to determine whether the participant met the inclusion criteria, namely central adiposity cutoff and 2 of 4 additional criteria for MetS (2005 IDF definition of MetS: waist circumference  $\geq 94$  cm (male),  $\geq 80$  cm (female); TAG  $\geq 1.7$  mM; blood pressure  $\geq 130/85$  mm Hg; HDL-cholesterol  $\leq 1.03$  mM (male),  $\leq 1.29$  mM (female); glucose  $\geq 5.6$  mM [30]). Participants were excluded if they were currently smoking, were pregnant or had been pregnant or breastfeeding in the past 6 months, currently taking anticoagulants, aspirin, magnesium containing antacids, or omega-3 fatty acid and vitamin D<sub>3</sub> supplements at dosages comparable to what the study protocol was providing. Participants who were consuming omega-3 fatty acid or vitamin D<sub>3</sub> tablets at comparable dosages had the option of going through an 8-week washout period before starting the trial. Participants who qualified were notified by the study coordinator and asked to obtain consent from their family physician to participate in the exercise intervention (Figure 1).

### Anthropomorphic measures

Height was measured using a stadiometer (to the nearest 0.5 cm). Body weight was measured using an electronic scale (to the nearest 0.05 kg). Participants removed footwear but wore light clothing. Height and weight were used to calculate BMI. Waist and hip circumference was measured using a body measuring tape (to the nearest 0.5 cm). Waist circumference was measured at the top of the iliac crest and hip circumference at the height of the greater trochanter. Measurements were completed upon screening (week 0) and weekly throughout the duration of the study (Table 1). All anthropomorphic measures were taken by the same study coordinator to ensure consistency between and within subjects.

### Resting heart rate and blood pressure

Resting heart rate, and blood pressure (BP) were measured using an automated BP monitor (Omron<sup>®</sup> IntelliSense™ HEM-907XL;

**Table 1.** Schedule of data collection for study measurements.

Weekly	Week 0	Week 4	Week 8	Week 12	Week 16
BW	Diet records	Diet records	Diet records	Diet records	Diet records
BP	Glucose		Glucose		Glucose
RHR	Insulin		Insulin		Insulin
Waist	HbA1c		HbA1c		HbA1c
Hip	Cholesterol		Cholesterol		Cholesterol
	Serum TAG		Serum TAG		Serum TAG
	Serum Vit D		Serum Vit D		Serum Vit D
	RBC PL		RBC PL		RBC PL

**BW:** Body weight; **BP:** Blood pressure; **RHR:** Resting heart rate; **HbA1c:** Glycosylated hemoglobin; **TAG:** Triacylglycerol; **HDL-Chol:** High-density lipoprotein cholesterol; **Vit D:** 25-hydroxyvitamin D<sub>2</sub>/D<sub>3</sub>; **RBC:** Red blood cells; **PL:** Phospholipids

commercially available). Participants sat on an examination bed with arm resting at heart level for 5 minutes prior to measurement. Two readings separated by one-minute intervals were taken and averaged. Measurements were completed upon screening (week 0) and weekly throughout the duration of the study (Table 2).

### Blood collection

All participants who came in for the in-person screening were asked to abstain from food or drink (except water) for 8-12 hours prior to coming in for their appointment. Blood was collected by venipuncture at the antecubital region of the arm by a certified phlebotomist. Blood was separated and sent to LifeLabs<sup>®</sup> Medical Laboratory Services (Guelph, Ontario, Canada) for analysis of glucose, total cholesterol, HDL-cholesterol, triacylglycerol, insulin and HbA1c. Serum and RBCs were also retained in the lab and stored at -80°C for later analysis of 25-hydroxyvitamin D and RBC plasma membrane phospholipid content. For participants who completed the study, blood was also collected at weeks 8 and 16 (end of study period) for analysis (Table 2).

### Study design

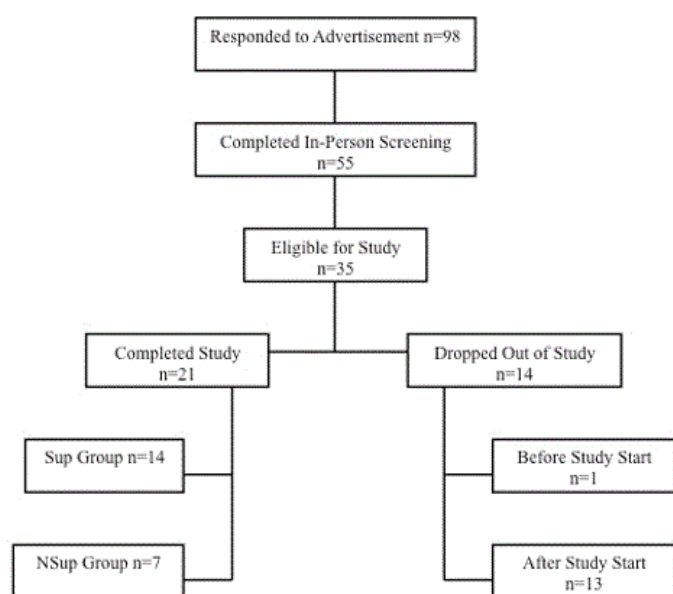
#### Participants

Based upon the screening procedure, 35 participants qualified to participate in the research study. Participants were randomised to either the supplement intervention (Sup; n=17) or to no supplement (NSup; n=18). Those not receiving the intervention did not receive a placebo. Initially, 27 females and 8 males were recruited for the study. There was an attempt to maintain the ratio of male to female participants between groups equal while randomising into treatment groups, but more male participants dropped out of the non-supplemented group than the supplemented group by week 16 (final ratios: NSup 6F:1M; Sup 10F:4M).

#### Diet intervention

Before starting the study, participants were given binders that contained information on the diet protocol, supplements, methods of measurement, exercise, and how to fill out records accurately. Participants also received instruction from assistant study coordinators on filling out diet records. Participants were required to fill out a 7-day food record prior to study commencement. This information was used to calculate baseline caloric consumption, average GI for foods consumed in a day, and macronutrient distribution.

At study commencement, participants were instructed to begin consuming foods according to the study protocol. Participants were instructed to consume macronutrients in the following percentage of total calories: 47% from carbohydrates, 30% from fat, and 23% from protein. Participants were also instructed to consume foods with



**Figure 1.** Flowchart of subject participation and withdrawal

**Table 2.** Baseline subject anthropomorphic characteristics

Measurement	Mean±SEM
Anthropomorphic	
Body weight (kg)	101.4±4.3 (n=25)
BMI (kg/m <sup>2</sup> )	36.4±1.4 (n=24)
Body fat (%)	43.0±1.7 (n=25)
Waist circumference (cm)	111.7±3.4 (n=25)
Systolic blood pressure (mm Hg)	132.0±3.5 (n=17)
Diastolic blood pressure (mm Hg)	91.6±3.4 (n=17)
Resting heart rate (BPM)	76.5±1.9 (n=17)
Biochemical	
Glucose (mmol/L)	5.61±0.18 (n=21)
Insulin (pmol/L)	97.1±18.9 (n=20)
HbA1c (%)	5.9±0.1 (n=21)
Cholesterol (mmol)	5.05±0.24 (n=19)
HDL-C (mmol)	1.21±0.01 (n=19)
Triacylglycerol (mmol)	1.86±0.19 (n=19)

**BMI:** Body mass index; **BPM:** Beats per minute; **HbA1c:** Glycosylated hemoglobin; **HDL-C:** High-density lipoprotein-cholesterol

a glycemic index of <50% and to reduce total caloric intake by 25% compared to pre-study intake. All foods and beverages consumed in a day were recorded on daily diet records provided to participants.

Participants met weekly with assistant study coordinators where they returned completed diet records from the previous week and received new ones for the next week. These nutritional counseling meetings were used to help participants with compliance to the study protocol. Assistants reviewed participant diet records and entered the data into 'The Food Processor' (Esha Research, Inc., Salem, OR, USA) to determine whether participants were meeting the study requirements and allowed assistant study coordinators to advise participants whether changes to their dietary choices were necessary. These meetings were also an opportunity for participants to express any concerns or ask questions. Records were analyzed for weeks 0, 4, 8, 12 and 16 to compare compliance over the duration of the study period (Table 2). Due to non-compliance by the NSup group to maintain adequate calorie restriction, we are only reporting the data from the first 8 weeks of the study.

### Exercise intervention

All participants were required to participate in the exercise program at the University of Guelph Athletic Centre three times per week for the duration of the study. A circuit-training model was used consisting of alternating 12-15 repetitions of a resistance exercise followed by one minute of cardiovascular activity. The entire circuit was completed twice and took approximately one hour to accomplish. Typical exercises involved using equipment such as the chest press, leg press, dumbbells, Bosu balls and spin bicycles. The circuit was modified intermittently throughout the trial to break up the monotony of the exercise protocol.

During the initial visit to the Athletic Centre participants registered with the gym, and received instructions and orientation from the study coordinator who is also a certified personal trainer. Participants were shown proper use of the equipment and any additional questions were answered.

Target heart rates were calculated by the study coordinator using the Karvonen equation [31] and participants were instructed to exercise at ~70% of their maximum heart rate. Heart rate monitors and wristwatches were used to monitor exertion. For the safety of the participants, the study coordinator ensured that correct form was used

for exercises performed, that heart rates stayed within the prescribed range and that participants remained hydrated.

### Supplement intervention

Participants in the supplementation group were instructed to consume 2 tablets (2000 IU total) of vitamin D<sub>3</sub> (Jamieson Laboratories, Ltd., Windsor, ON, Canada) and 3 capsules (400 mg EPA/200 mg DHA per capsule, 1.8 g EPA+DHA total) of omega-3 fatty acids (Omega-3 Complete, Jamieson Laboratories, Ltd.) per day. Jamieson Laboratories, Ltd. donated all supplements. The dosage for omega-3 fatty acids was the on-label recommendation and the dosage for vitamin D<sub>3</sub> was higher than that listed on the label and was the highest dosage the research ethics board at the University of Guelph would allow. Compliance in supplement consumption was monitored by weighing the supplement bottle at each weekly counseling session.

### RBC phospholipid analysis

Total red blood cell fatty acid composition was analyzed by a combination of lipid extraction and Gas Chromatographic techniques. Total lipid was extracted according to the methods of Bligh and Dyer [32]. The phospholipid fraction was separated from other lipids by thin-layer chromatography on silica gel plates (Fisher, Unionville, Ontario, Canada) in a solvent of chloroform/methanol/isopropanol/potassium chloride (0.25% w/w)/triethanolamine (30:9:25:6:18). Phospholipids were scraped after visualization with 0.1% aminonaphtholsulfonic acid, and fatty acids were methylated (borontrifluoride-methanol) after addition of the fatty acid 17:0 as an internal standard. Methylated fatty acids were analyzed on an Agilent 7890A gas chromatograph equipped with flame ionization detection and separated on an DB-FFAP fused-silica capillary column (15 m, 0.1 µm film thickness, 0.1 mm i.d.; Cat# 127-32H2; Agilent Technologies, Santa Clara, CA, USA). Data were used to determine the degree of incorporation of EPA and DHA into RBC phospholipids and to measure compliance with the supplementation protocol.

### Vitamin D analysis

Vitamin D was analysed using the 25-hydroxyvitamin D <sup>125</sup>I radioimmunoassay kit (kit#68100E; DiaSorin Inc., Mississauga, ON, Canada). Serum samples frozen at -80°C were thawed and used in the assay essentially as described in the manufacturer's instructions. The assay is a two-step procedure involving extracting hydroxylated metabolites (including 25(OH)D<sub>2</sub>/D<sub>3</sub>) and then assaying them. After extraction, samples were incubated with antibody and the <sup>125</sup>I tracer. After phase separation, the sample was precipitated with a second antibody precipitating complex. The liquid fraction of the samples was poured off and samples were dried. After drying was completed, samples were placed into a scintillation counter and activity was measured. Counts from samples and standards were recorded. This data was then transformed logarithmically to derive the ng/mL concentration of 25(OH)D<sub>2</sub>/D<sub>3</sub>. Concentrations were converted into nmol/L.

### Statistics

Statistics were performed using Microsoft Excel. A paired, two-tailed, Student's t-test was used to determine within-group differences. An unpaired, two-tailed, Student's t-test was performed on the change in a given measure to compare the treatment effect between groups. Differences were considered significant at p≤0.05. Specific participant data was omitted from analysis if they were taking medication or had a chronic illness that would affect a given measurement. Exact n-sizes are reported within each data table.

## Results

### Baseline participant characteristics

In-person screening was completed with 55 potential participants, and of them, 35 were eligible to participate. One participant dropped out for unknown reasons prior to commencing the study. Of the 34 participants to begin the study, 4 dropped out as they felt they could not meet the time requirements of the study, 4 dropped out due to illness or injury (unrelated to the study), 2 dropped out due to family commitments, 1 moved out of the city and 2 left for unknown reasons (14 subjects total). Of the 21 subjects to complete the 8 weeks of the study, no subjects were removed for non-compliance. Participant characteristics at baseline are summarized in Table 2. The mean age of participants at the onset of the study was  $46.7 \pm 2.6$  years. All participants met the International Diabetes Federation criteria for MetS (abdominal obesity plus two additional risk factors), with an average waist circumference of  $111.7 \pm 3.4$  cm, an average systolic blood pressure of  $132.0 \pm 3.5$  mmHg, an average diastolic blood pressure of  $91.6 \pm 3.4$  mmHg and an average serum triacylglycerol content of  $1.86 \pm 0.19$  mmol/L. However, neither blood glucose ( $5.61 \pm 0.18$  mmol/L) nor HDL-cholesterol ( $1.21 \pm 0.01$  mmol/L) met the cutoff values.

### Compliance

All participants were required to modify their diet to maintain a specific macronutrient content (47% CHO, 30% fat, 23% protein), reduce their total caloric intake by ~25% and maintain a glycemic index consumption of <50%. Compliance was monitored using weekly food records. At baseline, diet composition and energy intake was not different between treatment groups. Compared to baseline, after 8 weeks, both groups had successfully reduced calorie consumption, with energy intake decreased by -23.0% for NSup ( $p=0.01$  compared to baseline) and -17.8% for Sup ( $p=0.03$  compared to baseline;  $p=0.66$  between treatment groups; Table 3). Diet composition was maintained within the recommendation throughout the study and was not different between groups or within groups at any time point measured (Table 3). The glycemic index throughout the study was an average of  $42.5 \pm 1.6\%$  for NSup and  $42.5 \pm 1.3\%$  for Sup. Unfortunately, by week 16, diet records indicated that the NSup group had not been effectively calorie restricting their diet. Therefore, we are only reporting the 8 week data.

All subjects were also required to attend circuit-training sessions three times per week for the duration of the study. Compliance was measured as a percent of attended versus scheduled sessions. At the end of the 8-week treatment period, NSup subjects had completed  $73 \pm 4\%$  of their scheduled sessions and Sup had completed  $75 \pm 4\%$  ( $p=0.865$  between groups).

Supplement compliance was measured weekly, by weighing the supplement bottle. Participant compliance in consuming the omega-3 supplement was excellent with an average of  $100.5 \pm 0.9\%$ . Participant compliance in consuming the vitamin D supplement was also excellent with an average of  $95.9 \pm 2.7\%$ .

### Effect of supplementation

As both verification of supplementation compliance and to ensure a measurable effect of supplementation, red blood cell phospholipid EPA and DHA and serum vitamin D content were measured. In the non-supplement group, there were no differences in EPA, DHA or vitamin D between baseline and the end of the study period ( $p>0.05$ ; Table 4). In the supplement group, EPA increased from  $0.81 \pm 0.11$  to  $2.06 \pm 0.15$  mmol/L ( $p=0.0002$ ;  $2.85 \pm 0.30$  fold increase), DHA

**Table 3.** Diet characteristics

	NSup		Sup	
	BL (n=9)	W8 (n=8)	BL (n=14)	W8 (n=13)
Energy (kcal)	1624±116	1253±73*	1599±137	1315±98*
Carbohydrate (% energy)	44.3±2.7	45.2±3.7	47.7±1.1	50.1±1.7
Protein (% energy)	19.5±1.4	23.1±1.4	19.2±1.3	21.8±1.2
Fat (% energy)	36.2±2.2	32.2±3.2	33.0±1.4	28.4±1.2

NSup: Non-supplemented group; Sup: Supplemented group; BL: Baseline measurement (week 0); W8: Week 8 measurement.

Values are means±SEM

\*significantly different from baseline ( $p<0.05$ )

**Table 4.** Red blood cell phospholipid composition and serum Vitamin D content with and without supplementation

	NSup		Sup	
	BL (n=7)	W8 (n=7)	BL (n=14)	W8 (n=14)
EPA (mol %)	0.83±0.15	0.88±0.20	0.81±0.11	2.06±0.15*
Fold Δ	-	1.05±0.11	-	2.85±0.30
DHA (mol %)	3.81±1.10	4.10±0.35	4.07±0.29	5.18±0.25*
Fold Δ	-	1.08±0.05	-	1.31±0.06
Vit D (nmol/L)	64.2±4.46	68.4±3.32	57.5±6.27	79.2±2.54*
Fold Δ	-	1.07±0.05	-	1.86±0.41

EPA: Eicosapentaenoic acid; DHA: Docosahexaenoic acid; Vit D: 25-hydroxyvitamin D<sub>3</sub>; Δ: Change

Values are means±SEM

\*significantly different from baseline ( $p<0.05$ )

increased from  $4.07 \pm 0.29$  to  $5.18 \pm 0.25$  mmol/L ( $p=0.01$ ;  $1.31 \pm 0.06$  fold increase) and vitamin D increased from  $57.5 \pm 6.27$  to  $79.2 \pm 2.54$  nmol/L ( $p=0.054$ ;  $1.86 \pm 0.41$  fold increase).

### Anthropometry

At baseline, there were no differences between the NSup and Sup groups for any anthropomorphic measure (Table 5). Following 8 weeks of calorie restriction, GI restriction and regular physical activity, body weight, BMI, waist and hip circumference and blood pressure decreased significantly from baseline in both the NSup and Sup group (summarized in Table 5). However, there was no difference in the magnitude of the decrease in any of the measures with omega-3 fatty acid and vitamin D supplementation. Interestingly, resting heart rate was significantly decreased in the Sup group ( $75.6 \pm 2.7$  to  $69.5 \pm 2.2$  bpm;  $p=0.03$ ) but not in the NSup group.

### Biochemical markers

At baseline, there were no differences between the NSup and Sup groups for any biochemical marker measured (Table 6). Following 8 weeks of calorie restriction, GI restriction and physical activity, there were no differences in fasting blood glucose, fasting insulin, HDL-cholesterol or serum triacylglycerol levels in either the NSup or Sup group (summarized in Table 6). However, glycosylated hemoglobin levels were significantly reduced in the omega-3 fatty acid and vitamin D supplemented group ( $6.1 \pm 0.2\%$  to  $5.8 \pm 0.1\%$ ;  $p=0.03$ ), but not in the NSup group.

### Metabolic syndrome risk factors

At the onset of this study, 100% participants were at risk for developing the Metabolic Syndrome (MetS) based on the criteria set out by the International Diabetes Federation. Following 8 weeks of calorie restriction, GI restriction and regular physical activity, only 58% of all participants were still at risk for MetS. Of those participants receiving omega-3 fatty acid and vitamin D supplementation, 64%

**Table 5.** Average anthropometric measures and average individual change from baseline

	NSup		Sup	
	BL (n=10) <sup>1</sup>	W8 (n=10)	BL (n=14) <sup>2</sup>	W8 (n=14)
<b>BW (kg)</b>	102.5±5.2	98.7±4.9*	101.9±6.7	98.7±6.8*
<b>ΔBW (kg)</b>		-3.8±0.9		-3.2±0.7
<b>BMI (kg/m<sup>2</sup>)</b>	36.0±1.8	34.7±1.8*	36.7±2.0	35.6±2.1*
<b>ΔBMI (kg/m<sup>2</sup>)</b>		-1.3±0.3		-1.2±0.3
<b>Waist circ (cm)</b>	109.9±4.7	105.1±5.1*	114.4±4.8	109.8±4.5*
<b>ΔWaist circ (cm)</b>		-4.9±1.5		-4.6±1.3
<b>Hip circ (cm)</b>	123.9±4.6	120.7±4.2*	125.1±4.1	122.8±4.3*
<b>ΔHip circ (cm)</b>		-3.3±1.3		-2.3±1.0
<b>Syst BP (mmHg)</b>	129.5±5.4	121.8±4.6*	134.2±4.8	128.1±5.0*
<b>ΔSyst BP (mmHg)</b>		-7.8±2.4		-6.1±2.5
<b>Diast BP (mmHg)</b>	89.8±3.8	80.3±3.3*	93.2±5.6	81.9±4.4*
<b>ΔDiast BP (mmHg)</b>		-9.5±1.1		-11.3±2.3
<b>RHR (BPM)</b>	77.5±2.8	76.9±2.4	75.6±2.7	69.5±2.2*
<b>ΔRHR (BPM)</b>		-1.0±1.6		-5.9±2.5

NSup: Non-supplemented group; Sup: Supplemented group; BL: Baseline measurement (week 0); W8: Week 8; BW: Body weight; BMI: Body mass index; circ: Circumference; Syst: Systolic; Diast: Diastolic; BP: Blood pressure; RHR: Resting heart rate; BPM: Beats per minute; Δ: Change  
Values are means±SEM

<sup>1</sup>Except BP and RHR measures n=8

<sup>2</sup> except BP and RHR measures n=9

\*significantly different from baseline (p<0.05)

**Table 6.** Average biochemical measures and average individual change from baseline

	NSup		Sup	
	BL (n=9)	W8 (n=9)	BL (n=11) <sup>1</sup>	W8 (n=11)
<b>Glucose (mmol/L)</b>	5.48±0.13	5.26±0.10	5.75±0.32	5.65±0.24
<b>ΔGlucose (mmol/L)</b>		-0.22±0.15		-0.10±0.32
<b>Insulin (pmol/L)</b>	107.9±34.9	96.7±27.4	84.5±21.8	85.3±17.8
<b>ΔInsulin (pmol/L)</b>		-9.8±11.6		0.82±9.6
<b>HbA1c (%)</b>	5.8±0.1	5.7±0.1	6.1±0.2	5.8±0.1*
<b>ΔHbA1c (%)</b>		-0.06±0.1		-0.24±0.1
<b>HDL-C (mmol/L)</b>	1.17±0.05	1.15±0.06	1.24±0.10	1.18±0.09
<b>ΔHDL-C (mmol/L)</b>		-0.04±0.05		-0.06±0.03
<b>TAG (mmol/L)</b>	1.95±0.33	1.56±0.22	1.75±0.25	1.52±0.23
<b>ΔTAG (mmol/L)</b>		-0.37±0.23		-0.23±0.16

NSup: Non-supplemented group; Sup: Supplemented group; BL: Baseline measurement (week 0); W8: Week 8; HbA1c: Glycosylated hemoglobin; HDL-C: High-density lipoprotein-cholesterol; TAG: Triacylglycerol; Δ: Change

<sup>1</sup>except HDL-C and TG, n=9

\*significantly different from baseline (p<0.05)

were still at risk, while of those not receiving supplementation, 50% were still at risk (Figure 2).

## Discussion

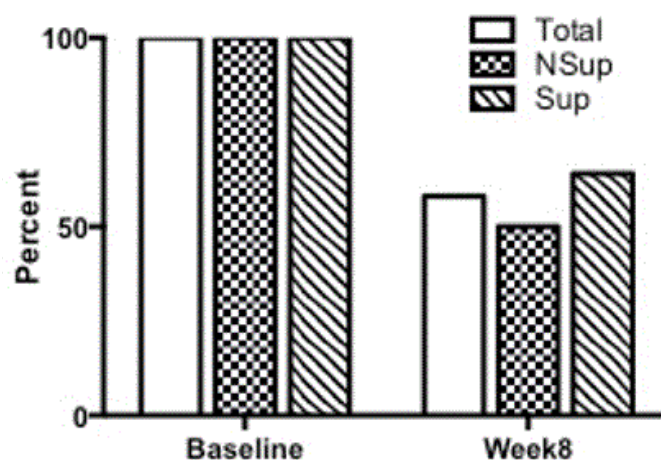
This 16-week pilot study was designed to determine whether, in the background of a diet and exercise program, omega-3 fatty acids and vitamin D supplementation could provide additional improvements to the risk factors of Metabolic Syndrome compared to the lifestyle intervention alone. We hypothesised that omega-3 fatty acids and

vitamin D supplementation would lead to greater weight loss and therefore a greater reduction in risk factors for MetS. Unfortunately, due to compliance issues, only data from the first 8 weeks is reported.

Participants were admitted into this study based on meeting the criteria for MetS [30]. Participants were considered within a risk factor if they reached the cutoff value, were diagnosed with a given medical condition and/or were being medicated for a given condition. The decision to include participants taking medication was in part to ensure that we could recruit sufficient participants, as it is unlikely to find subjects meeting these criteria who are not under the treatment of a physician. Additionally, this participant pool would be more representative of the population at large. However, this did require that certain participants be excluded from certain measures as their medications would confound the results and it would be unethical to request that participants stop taking medication during the study.

Of the 35 participants who were slated to start the study intervention, only 21 (or 60%) completed the 8-week intervention. Although this is significant attrition, it is common for dietary and/or exercise interventions [5,33]. Surprisingly, twice as many participants dropped out of the non-supplemented cohort than the supplemented cohort. It is possible that since subjects were not blinded to whether or not they were taking the supplement, those not receiving the supplement could have perceived less of a benefit from the intervention. Other reasons for leaving the study included the amount of time required to complete the study, family obligations and injuries/illness not related to the study. However, there were no adverse effects related to the study design reported. There were many dietary restrictions as well as a significant time commitment for the exercise component of this study. It is possible that fewer participant requirements and/or increased one-on-one interactions with the participants could improve attrition rates in future studies.

Despite the number of participants who dropped out of the study, those who completed the 8-week intervention remained compliant to the study protocols. Diet records indicate that diet composition, glycemic index, and calorie restriction were all maintained within the study guidelines and was not different between treatment groups. Exercise compliance was 73-75% and not different between treatment groups. Compliance in supplement consumption among



**Figure 2.** Subjects meeting the International Diabetes Federation definition of the Metabolic Syndrome. Percentage of participants pre-intervention and following 8 weeks of a diet and exercise intervention without (NSup=checked bars) or with (Sup=lined bars) omega-3 and vitamin D supplementation (Total participant pool=white bar)

those receiving the supplement was ~100% for both n-3 fatty acids and vitamin D. Supplementation compliance was confirmed by RBC phospholipid and serum vitamin D analyses. This suggests that although there were many lifestyle factors that subjects had to control, those with the motivation to complete the study were able to maintain the lifestyle interventions for 8 weeks, however by 16 weeks, some subjects were unable to maintain the dietary restrictions and therefore this confounded our ability to specifically measure the benefits of the supplementation at this later time point. Many participants expressed that filling out daily diet records throughout the entire intervention was wearisome. Perhaps using less frequent records and/or moving to 3-day records could improve the study design in the future.

The most important finding of this study is that a low GI, moderate protein, calorie-restricted diet combined with exercise is effective at reducing risk factors for MetS. Of the entire subject pool, 42% were no longer at risk following the 8-week intervention, however in the NSup group, this could only be attributed to changes in anthropomorphic measures. A significant decrease was observed in body weight, BMI, waist and hip circumference and both systolic and diastolic blood pressure. Similar improvements have previously been reported in obese women [5], however this study adds to the body of evidence in both men and women.

Interestingly, the supplemented group displayed two additional improvements not seen in the non-supplemented group. Both HbA1c and resting heart rate were slightly, but significantly lowered. While neither one of these measures is used to diagnose MetS, they are both indicative of improved metabolic health. HbA1c is considered the gold standard for measuring glycemic control [34,35], as it is a measure of the previous 2-3 months' average glucose exposure [36]. A recent study demonstrated that daily supplementation with 2.7 g of n-3 fatty acids in type 2 diabetics lead to a significant decrease in HbA1c [37], however a recent meta-analysis only demonstrated a trend [38]. Vitamin D has also been shown to decrease HbA1c in some studies [39,40], however current meta-analysis data fail to show a direct correlation between vitamin D and HbA1c [41,42]. Regardless, there is some evidence to suggest that n-3, vitamin D or possibly the combination of the two supplements could be responsible for the decrease observed in this study. While resting heart rate (RHR) is not generally accepted as a therapeutic target [43], increased RHR has been observed in subjects with MetS [44] and is associated with increased morbidity in type 2 diabetic patients [45]. Meta-analysis has demonstrated a correlation between n-3 supplementation and decreased RHR [46], which is believed to be due to a direct effect of the n-3s at the cardiomyocyte [47]. The data on vitamin D is more equivocal. A recent meta-analysis on vitamin D supplementation and cardiovascular risk in obese patients concludes that there is a paucity of intervention studies making correlating the two difficult [48]. While one research study demonstrated a negative correlation between vitamin D and RHR (as also seen in our study; [49]), another showed no difference following supplementation [50]. Clearly more investigation is required to determine the role of vitamin D and RHR, however, it is possible that our n-3 supplementation could be responsible for the reduction in RHR observed in this study.

This pilot study demonstrates that a low glycemic index, moderate protein, calorie restricted diet combined with exercise can successfully reduce the risk factors of the metabolic syndrome by reducing anthropometric risk factors. Interestingly, supplementation of n-3 fatty acids and vitamin D in addition to this diet and exercise intervention resulted in decreased HbA1c levels and resting heart rate. Although not specifically risk factors for MetS, both indicate improvements in metabolic health. We recognize that our subject pool is small and

therefore we might have been unable to detect other improvements due to a lack of statistical power. Additionally, due to our study design, we are unable to determine whether these improvements in the supplement group are due to the omega-3 fatty acids, vitamin D or perhaps a synergistic effect of the combination of these two supplements. However, there is currently considerable interest in the health benefits of these two supplements both independently and combined. There is an ongoing prospective study, the vitamin D and omega-3 trial (VITAL), that is examining the effect of supplementation on cancer and cardiovascular risk in the general public [51,52]. Although risk of MetS is not the primary outcome measure, ancillary studies will be examining this disease as well. While it will be years before the results of VITAL will be published, our study demonstrates that omega-3 and vitamin D supplementation with respect to improving the health of subjects with MetS merits further investigation.

### Author contributions

RT and AC performed experiments. RT, AC, LAS, and KMG analyzed data. RT and LAS wrote the manuscript. All authors edited and approved the final version of the manuscript.

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### Declarations

The authors have no conflicts of interest to disclose.

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