

## Research Article

# Anemia in patients with chronic kidney disease: current screening and management approaches

Rodolfo Fernando Rivera<sup>1\*</sup>, Luca Di Lullo<sup>2</sup>, Antonio De Pascalis<sup>3</sup>, Fulvio Floccari<sup>4</sup>, Giancarlo Joli<sup>5</sup>, Elena Pezzini<sup>6</sup>, Elena Brioni<sup>5</sup> and Maria Teresa Sciarrone Alibrandi<sup>5</sup>

<sup>1</sup>Division of Nephrology and Dialysis, San Gerardo Hospital, ASST Monza, Italy

<sup>2</sup>Department of Nephrology and Dialysis, L. Parodi – Delfino Hospital, Colleferro, Rome, Italy

<sup>3</sup>Division of Nephrology and Dialysis, Vito Fazzi Hospital, Lecce, Italy

<sup>4</sup>Division Nefrologica e Dialisi, Ospedale San Paolo, Civitavecchia, Italy

<sup>5</sup>Division of Nephrology, Dialysis and Hypertension, IRCCS San Raffaele Hospital, Milan, Italy

<sup>6</sup>Department of Health Sciences, University of Milan Bicocca, Italy

## Abstract

Anemia refers to an absolute reduction of the total number of circulating red blood cells (RBC), resulting in a reduction of hemoglobin (Hb) concentration. Anemia is a frequent complication in chronic kidney disease (CKD), and it is often accompanied by various clinical symptoms.

The primary cause of anemia in CKD patients is the reduction in the erythropoietin production, which results in a decrease of signaling molecule that stimulates red blood cell production. Other possible causes of anemia in CKD include iron deficiency, inflammation, and the accumulation of uremic toxins.

This chapter focuses the discussion on the strategy of the management of anemia in patients with CKD.

Erythropoiesis-stimulating agents (ESAs) and adjuvant iron therapy represent the primary treatment for anemia in chronic kidney disease. The introduction of ESAs into clinical practice was a success goal, mediating an increase in hemoglobin concentrations without the risk for recurrent blood transfusions and improving quality of life substantially.

**Abbreviations:** RBC: red blood cells; Hb: hemoglobin; Ht: hematocrit; RBC: red blood cells; CBC: Complete blood count; CKD: chronic kidney disease; ESRD: end-stage renal disease; RRRT: required renal replacement therapy; HD: hemodialysis; PD: peritoneal dialysis; TSAT: Serum transferrin saturation; CKD: chronic kidney disease; EPO: endogenous erythropoietin; ESA: erythropoietic-stimulating agents.

## Introduction

The World Health Organization (WHO) has defined anemia as an absolute reduction of the total number of circulating red blood cells (RBC) resulting in a reduction of hemoglobin concentration <13.0 g/dL for adult males and postmenopausal women and an Hb <12.0 g/dL for premenopausal women [1]. For practical purposes, anemia is considered when one or more of the following are decreased: hemoglobin (Hb), hematocrit (Ht), or red blood cell (RBC) count.

In general, anemia is more common in women, in particular, those in their childbearing years. During the late decades of life, anemia tends to occur without any particular sex predilection. There has been a lower prevalence of anemia in current smokers, which has been attributed to secondary erythrocytosis.

Anemia is a frequent complication in chronic kidney disease (CKD), and it is often accompanied by various clinical symptoms, such as impaired physical capacity, decreased neurocognitive function, and poor quality of life [2]. However, males patients with CKD have a 30% greater risk of developing anemia as compared to their female

counterparts. Although males have higher Hb values, they also have higher rates of advanced CKD.

One of the less known functions of the kidneys is the production of erythropoietin, a signaling molecule that stimulates red blood cell production, in response to decreased oxygen levels in the blood. Any disruption of this process, e.g., secondary to a functional abnormality due to CKD, has the potential to induce anemia, a condition in which the number of circulating red blood cells, and therefore the level of Hb, is lower than normal [3]. Other possible causes of anemia in CKD include iron deficiency, inflammation, and the accumulation of uremic toxins [1,3]. Thus, the abnormal composition of blood or urine is an additional indicator of kidney damage.

Based upon the WHO anemia diagnostic criteria, nearly 90 percent of patients with a glomerular filtration rate (GFR) <25 to 30 mL/min have anemia, many with Hb levels <10 g/dL [4].

An observational study that included patients with selected comorbid conditions such as CKD, human immunodeficiency virus,

**Correspondence to:** Rodolfo F Rivera, Division of Nephrology and Dialysis, San Gerardo Hospital, ASST Monza, Italy, Tel: +390392334304; E-mail: rodolfofrivera@gmail.com

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rheumatoid arthritis, inflammatory bowel disease, congestive heart failure, and solid-tumor cancers, showed that among end-stage renal disease (ESRD) patients who required renal replacement therapy (RRRT), 68% had a hematocrit (Ht) less than 30 mg/dL and 51% a Ht less than 28 mg/dL [5]. Furthermore, although anemia is not as common in earlier stages of CKD, patients with stage III disease have a prevalence of concurrent anemia of 5.2%, whereas those with stage IV disease the prevalence of concurrent anemia is 44.1% [6].

Age and race are important risk factors for the development of anemia in CKD patients. There is a greater prevalence of anemia in those older than 60 years, as compared to those aged between 46 and 60 years. This is probably due to the greater CKD prevalence in older individuals, as well as the lower estimated GFR that are associated with aging. Black people have not only a 4-fold increased risk of developing CKD relative to white persons [7] but also an increased prevalence of anemia.

From a clinical point of view, anemia has also been involved as a contributing factor in many of the symptoms associated with CKD, including fatigue expression, reduced exercise tolerance, dyspnea, with cognitive impairment, sleep disturbances, increase in CKD rate progression, cardiovascular consequences, such as left ventricular hypertrophy (LVH) and left ventricular systolic dysfunction [8]. It is also associated with an increased risk of morbidity and mortality, principally due to cardiac disease and stroke [9–11], and with an increased risk of hospitalization, hospital length of stay, and mortality in patients with predialysis CKD [12,13]. On the other hands, direct healthcare costs are higher in CKD patients with anemia than in those without [3], and quality of life issues (e.g., fatigue, reduced productivity) are common. For all those reasons anemia deserves to be treated as one of the major complications or CKD.

## Diagnosis and evaluation of anemia in CKD

Anemia is an initial laboratory sign of an underlying clinical problem in patients with or without CKD, for which is necessary a complete blood count, that include total RBC count, Ht, and Hb concentration.

In patients with CKD but stable kidney function, the onset or the progression of anemia may predict a new clinical problem which could be the expression of a blood loss or interference with red cell production.

For this reason, anemia should be evaluated independently of CKD stage in order to identify any reversible process contributing to the anemia. There is a large list of causes and approaches to the diagnosis that can be found in a standard textbook of medicine or hematology useful for the clinical general practitioner. However, the causes of acquired anemia are myriad and too many to follow all steps of internistic guideline. A comprehensive list of this causes and diagnostic approach is desirable for CKD patients. The most commonly encountered reversible cause of worsening anemia in CKD patients, other than anemia directly related to CKD, is iron deficiency.

CKD patients show a gradual decrease in Hb levels that usually is related to the decline in the GFR, suggesting the need for regular monitoring Hb concentration. As renal function declines in patients with more advanced CKD stages, the incidence and prevalence of anemia increases. Therefore, in order to identify CKD patients at high risk for therapeutic intervention, Hb concentration monitoring should be more frequent in proportion to CKD stages.

The frequency of Hb monitoring, regardless of CKD stage, should be influenced by both Hb level and rate of decline in Hb level. Monthly monitoring of Hb concentration is recommended in patients undergoing dialysis replacement therapy (HD hemodialysis or PD: peritoneal dialysis). It is important to remember that for HD patients Hb monitoring is traditionally performed prior to a midweek HD session, while this is not essential in PD patients. As in all patients, Hb testing should be performed whenever clinically indicated, such as after a major surgical procedure, hospitalization, or bleeding episode.

Initial evaluation of the anemia in CKD, regardless of age and CKD stage, should include the following tests: Complete blood count (CBC), that should include Hb concentration, red cell indices, white blood cell count and differential, and platelet count; Absolute reticulocyte count; Serum ferritin level; Serum transferrin saturation (TSAT); Serum vitamin B12 and folate levels

## Complete blood count (CBC)

CBC gives important information about the kinds, numbers and form of blood cells as well as red blood cells, white blood cells and platelets. CBS also provides information about both the severity of anemia and adequacy of bone marrow function. Since anemia of CKD is hypoproliferative, and in general, normochromic and normocytic, from the morphological point of view it is indistinguishable from the anemia of chronic disease [14]. Detection of macrocytosis may suggest folate or vitamin B12 deficiencies, whereas the presence of microcytosis is associated with iron deficiency or inherited disorders of Hb formation. Iron deficiency, especially if longstanding, is associated with hypochromia and macrocytosis with leukopenia or thrombocytopenia suggests a generalized disorder of hematopoiesis caused by toxins (e.g., alcohol), nutritional deficit (vitamin B12 or folate deficiency), or myelodysplasia. In the presence of one or more of these findings, further diagnostic evaluation are indicated. Abnormalities of the white blood cell count and differential or platelet count are not typical of CKD anemia. These alteration require investigation for other diseases. In CKD patients who have active blood loss or hemolysis, reticulocyte count may be high.

## Hemoglobin(Hb) and hematocrit (Ht) concentration

Hb or Ht target in CKD or ESRD patients, would be defined as that value that is clinically optimal for each patient, based upon their special circumstances, such as general level of function and employment and comorbidities, such as coronary artery disease (CAD) and chronic heart failure (CHF).

Increasing evidence indicates the poor advantage, and even potential risk, with increased morbidity and mortality associated with targeting and maintaining Hb levels >13 g/dL in predialysis patients. According with most recent recommendation, clinicians should weigh the risk-benefit of erythropoietic-stimulating agents (ESA) therapy between decrease the need for transfusions against the increased risks for serious, adverse cardiovascular events. For each patient should be found minimum dosage of ESA to use sufficient to reduce the need for blood transfusions.

Although Hb target range is not provided, non-dialysis-dependent CKD (CKD-5 no D) patients should maintain Hb levels between 10.0 and 11.5 g/dL, and reduce or stop the treatment if the level exceeds this limits. For dialysis-dependent CKD (CKD-5 D) patients the level of Hb should be <10 g/dL, and reduce or stop the treatment if Level, Hb or exceeds 11 g/dL [15]. According to the major randomized trials, there are non benefits of Hb concentration between 11.5 and 13.0 g/

dL, and Hb targets >13 g/dL were associated with adverse outcomes [16–19]. There is now general agreement that in patients with CKD and ESRD, an adequate Hb target for anemia improves physiologic and clinical parameters and quality of life, compared with the very low Hb levels that were common prior to the availability of ESAs [15,20–23]. The National Kidney Foundation (NKF) Dialysis Outcomes Quality Initiative (DOQI) guidelines for the anemia of CKD were initially published in 1997, with revisions in 2001 and 2006 [20–22]. The 2007 update recommended that the range of 11 to 12 g/dL of Hb target in all CKD patients, while Hb levels should never exceed 13 g/dL [23]. The 2012 Kidney Disease Improving Global Outcomes (KDIGO) guidelines [24] recommended that CKD-5 D patients should maintain Hb concentrations  $\geq 10$  g/dL. In patients with Hb <10 g/dL, therapy should be individualized based upon the rate of fall in Hb concentration, the response to iron therapy, the risk transfusion, the risks related to ESA therapy, and the presence of symptoms. In no CKD-5 D patients, KDIGO suggests initiating therapy when the Hb concentration is <10 g/dL.

### Iron status

Adequate iron stores are essential to optimize the effects of ESA, such as recombinant human erythropoietin (EPO) or darbepoietin alfa. In fact, decreased iron stores or decreased availability of iron represent the most common cause of resistance to the effect of EPO agents agents. An ideal test to evaluate iron status in CKD patients would accurately indicate whether the patient has sufficient amount of iron available to support achievement and maintenance of Hb target, and an excessive amount of body iron.

Unfortunately, no test exists with accomplishes either of these goals and which is practical to administer. Currently, the two best test of iron status are the serum ferritin and the present transferrin saturation (TSAT).

Serum ferritin is the most widely used test for the assessment of storage iron, for which the ‘gold standard’ remains the examination of a bone marrow aspiration stained for iron [25]. However, serum ferritin values have to be interpreted with caution in CKD patients since it may be affected by inflammation or malnutrition, especially in dialysis patients in whom subclinical inflammation may be present [26]. Serum ferritin values <30 mg/L is indicative of severe iron deficiency and are highly predictive of absent iron stores in bone marrow [27,28].

According to NKF-KDOQI Clinical Practice Guidelines for Anemia in CKD, the recommended serum ferritin target to iron therapy in CKD-5 D patients should be >200 ng/mL, while in CKD-5 no D patients >100 ng/mL. CKD-5 D patients with a ferritin target up to 400-ng/mL showed a final ESA doses 28% lower than those in the lower (200-ng/mL) ferritin group, suggesting that higher ferritin target is well tolerated and reduce reduces the requirements for ESA [29].

There is no current evidence available to support treating most patients with serum ferritin levels greater than 500 ng/mL. A therapeutic response to iron therapy in a patient with a ferritin level greater than 500 ng/mL is unlikely.

The percent TSAT (serum iron multiplied by 100 and divided by total iron binding capacity: TIBC) reflects iron that is readily available for erythropoiesis. The TIBC measures circulating transferrin. The transferrin molecule contains two binding sites for transporting iron from storage sites to red progenitor cells. A TSAT of 50% indicate that half of the binding sites are activated by iron.

The distinction between absolute and functional iron deficiency is essential to understanding what constitutes adequate TSAT & circulating ferritin concentrations in ESA-treated CKD patients. In normal subjects, iron deficiency is considered “absolute” when iron store is depleted (circulating ferritin levels <12 ng/mL, and iron delivery is impaired as indicated by TSAT below 16%). Absolute iron deficiency in CKD patients has been defined as a circulating ferritin values <100 ng/mL and TSAT levels lower than 20%. Differently, functional iron deficiency results when there is a need for a greater amount of iron to support hemoglobin synthesis than can be released from iron stores. This clinical situation can be observed in a chronic pharmacological stimulation with ESA in CKD patients with adequate iron stores, and it is characterized by a reduction in TSAT percent despite normal or elevated circulating levels of ferritin [27,28]. A TSAT lower than 20% in CKD-5 D patients has been traditionally considered to be an indicator of iron deficiency.

A common clinical problem is distinguishing between functional iron deficiency and inflammatory iron block, since the TSAT could be above the 20% and circulating ferritin could be 100 to 700 ng/mL in both clinical situations. During functional iron deficiency, ESA treatment induces a decrease in circulating ferritin levels, which however remain elevated (generally more than 100 ng/mL). In contrast, inflammatory process is characterized by an abrupt increase of serum ferritin levels, that is associated with a sudden drop in the TSAT. Measurement of high sensitivity C-reactive protein (CRP) may be indicated if occult inflammation is a concern. In this situation, no further intravenous (IV) iron should be administrated until the inflammatory condition has resolved.

Reticulocyte count can be obtained with automated CBC testing, and may be high in patients who have active blood loss or hemolysis, and may be low in hypoproliferative erythropoiesis with anemia.

Other tests of iron status, such as percentage of hypochromic red blood cells and reticulocyte Hb content may be used instead of, or in addition to, TSAT and ferritin levels if available.

Hepcidin is a recently discovered peptide hormone regarded as the key regulator of iron entry into the plasma [30], is up-regulated by inflammation and increased iron stores and down-regulated by iron depletion. Hepcidin blocks iron release from the macrophages, limiting iron availability for erythropoiesis. Elevated serum levels of the bioactive hepcidin isoform, have been consistently reported in CKD-5 D patients [31,32] however, determination of circulating hepcidin levels has not been shown to be clinically useful or superior to more standard iron status tests in patients with CKD [33].

Folate and vitamin B12 deficiency are uncommon but important causes of treatable anemia, typically associated with macrocytic red blood cell (RBC) indices. The prevalence of vitamin B12 and folate deficiency in CKD-5 D patients is about 10%, and limited data are available in CKD-5 no D patients. Nonetheless, since these deficiencies are easily correctable, and in the case of vitamin B12 may indicate other underlying disease processes, assessment of folate and vitamin B12 levels are generally considered standard components of anemia evaluation, especially in the presence of macrocytosis.

### Use of iron to treat anemia in CKD

Iron is an essential mineral for heme synthesis, and adequate amounts of it are required for the production of new erythrocytes. Erythropoietic stimulation during anemia treatment in CKD patients, induces a greater utilization of iron available to satisfy the

increased demands of the bone marrow. Under these conditions, the amount of iron usable could become inadequate [34]. Therefore, iron supplementation in CKD patients with anemia is used to assure adequate iron stores for erythropoiesis, correct iron deficiency, and, prevent iron deficiency from developing in those patients receiving ESA treatment. Correction of iron deficiency with oral or intravenous iron supplementation can reduce the severity of anemia through the improve of erythropoietic response to ESA treatment [35,36].

Iron supplementation, particularly with intravenous iron, can increase erythropoiesis and raise Hb levels even when TSAT and ferritin circulating values are not indicative of absolute iron deficiency, and when bone marrow studies reveal adequate iron stores [37–39].

Due to the limited diagnostic utility of serum ferritin and TSAT test to estimate body iron stores, prescription of iron therapy in patients with CKD is complicated, which makes it difficult to predicting a Hb response to iron supplementation [40].

The prescription of iron therapy should be based on an assessment that an increase in Hb level is desirable in order to avoid transfusion or reduce the symptoms of anemia related. In addition, the potential adverse effects of iron supplementation should be considered taking into account appropriately outweighed by the expected treatment benefit.

Iron supplementation could be in the form of oral or intravenous (IV) while the use of intramuscular iron has largely been abandoned. Each administration route has its own potential advantages and disadvantages.

Oral iron is less expensive, readily available, and does not require IV access, a particular concern in non dependent-HD CKD patients. Despite oral route is generally well tolerated and it is not associated with severe adverse effects, gastrointestinal side effects such as nausea, dyspepsia and diarrhea are common and may limit adherence [41]. In addition, variable gastrointestinal tract absorption associated with gastrointestinal intolerance, can affect the treatment efficacy, penalizing the choice of this route of administration.

IV iron avoids concerns about medication adherence and efficacy in treating iron deficiency, but requires IV access and has been associated with infrequent but severe adverse reactions. The most dangerous adverse reaction to IV iron treatment is anaphylaxis, which is the most serious expression of hypersensitivity reactions (HSR) [42]. HSR are quite rare but they could be fatal if not managed promptly [43]. They used to be more common with older formulations of high molecular weight iron dextran (HMW-ID) than with newer preparations [44–46]. Similar to iron dextran, new iron molecules with large molecular-weight have been developed. Given the reduced amount of free iron released following administration, they may have an improved safety profile. However, post-marketing data are still inadequate to draw definitive conclusions and new molecules may be object of over reporting.

The optimum route of iron administration in CKD patients is still controversial. IV iron is more effective than oral iron in HD patients in replenishing iron stores, achieving a sustained Hb response, reducing the need for red blood cell transfusions [47], and reducing the required dosage of ESA [48–51]. In non-dialysis dependent CKD patients there is no widely accepted consensus on whether IV or oral iron should be used as first-line therapy in CKD-related anemia. Despite the potential benefits of oral iron, that include the low cost and the easy administration, its use is limited by poor gastrointestinal adsorption

and high rate of adverse events [41,52–54].

Oral iron is typically prescribed to provide approximately 200 mg of elemental iron daily. Oral administration should be given between meals (e.g., 2 hours before or 1 hour after a meal). For patients who have difficulty tolerating oral iron supplements, it is possible prescribe smaller, more frequent doses; start with a lower dose and increase slowly to the target dose; try a different form or preparation; or take at bedtime. Dosage of oral iron expressed in terms of elemental iron are shown in Table 1.

In same CKD patients, daily smaller doses of oral iron may be useful and better tolerated. Although ferrous sulfate is commonly available and inexpensive, other oral iron preparations may also be used; there is not significant evidence to suggest that other oral iron formulations are more effective or associated with fewer adverse side effects than ferrous sulfate. If the goals of iron supplementation are not met with a 1–3 month course of oral iron, it is appropriate to consider IV iron supplementation.

Iron dextran (ID) formulations carry a black box warning about fatal anaphylactic reactions, likely because of antibodies to the iron-carbohydrate or ID complex or the dextran component, particularly with high-molecular-weight ID (HMW-ID). The introduction of low-molecular-weight ID (LMW-ID) substantially reduces the risk of anaphylaxis. With ID therapy, test doses are required, along with an observation period for antibody reactions. Newer IV irons ferric gluconate and iron sucrose, that do not contain dextran, have a better safety profile. Chertow *et al.* [55] compared absolute rates of life-threatening HSR reported by the FDA from 2001 to 2003. For four different parenteral iron preparations (iron sucrose, ferric gluconate, LMW-ID, and HMW-ID), HSR were 0.6, 0.9, 3.3, and 11.3 per million patients, respectively. Ferumoxytol is the newest IV iron formulation to enter in the market [56]. It is a superparamagnetic iron oxide nanoparticle coated with a low molecular weight semi-synthetic carbohydrate. It helps to isolate the bioactive iron from plasma components until the iron-carbohydrate complex enters the reticuloendothelial system macrophages of the liver, spleen and bone marrow. The iron is released from the iron-carbohydrate complex within vesicles in the macrophages. Iron then either enters the intracellular storage iron pool (e.g., ferritin) or is transferred to plasma transferrin for transport to erythroid precursor cells for incorporation into hemoglobin. Dosage of IV iron, expressed in terms of elemental iron are shown in Table 2.

## Use of erythropoietic-stimulating agents to treat anemia in CKD

The main cause of anemia in CKD is a loss of kidney endogenous erythropoietin (EPO) production capacity, but more recently other conditions able to aggravate this effect have been proposed, such as derangement in oxygen sensing [57]. The introduction of recombinant human erythropoietin (rHuEPO) into clinical practice in the 1980s

**Table 1.** Approximate elemental iron content of various oral iron preparations.

Drug	Elemental Iron
Ferric pyrophosphate	120 mg/g
Ferrous gluconate	120 mg/g
Ferrous sulfate	200 mg/g
Ferrous sulfate, dried	300 mg/g
Ferrous fumarate	330 mg/g
Ferrous carbonate, anhydrous	480 mg/g
Carbonyl iron	1000 mg/g



**Table 2.** IV Iron Products: A Comparison.

Drug - Name - Manufacture	Concentration	Post-dose Observation Needed
HMW-ID (DexFerrum),	50 mg/mL	60 min after test dose, 30 min after actual dose
LMW-ID (InFed)	50 mg/mL	60 min after test dose, 30 min after actual dose
Ferric gluconate (Ferlixit),	12.5 mg/mL	30 min
Iron sucrose (Venofer),	20 mg/mL	30 min
Ferumoxylol (Feraheme),	30 mg/mL	30 min
Ferric carboxymaltose (Ferinject)	50 mg/mL	30 min

HMW-ID: high-molecular-weight iron dextran; LMW-ID: low-molecular-weight iron dextran;

was a major innovation in the treatment of the anemia of patients with CKD. The development of rHuEPO was aimed at replacing the insufficient EPO production related to CKD progression. Thus, the rHuEPO therapy was considered as a useful therapy for dialysis dependent CKD patients, whose hemoglobin dropped to extremely low levels, making them transfusion-dependent.

The immediate improvement in symptoms of anemia with the administration of rHuEPO in CKD patients was associated with reduced need for blood transfusions, resulting in risk of transmission of blood-borne viral diseases, such as hepatitis B and C, less allosensitization, predispose to long waiting times or failure to receive a kidney transplant, transplant rejection, and reduced risk of hemosiderosis [58]. In many observational studies, the gradually increased and often the normalization of Hb values, showed an inversely proportional association to certain intermediate outcomes such as left ventricular hypertrophy [8], as well as patient outcomes hard as cardiovascular events hospitalization [59], and death [60].

At the beginning, the use of rHuEPO has been limited to dialysis patients with the most serious forms of anemia. In the following years, its use has been extended to the majority of dialysis patients with renal anemia, and, later, also in anemic patients with CKD 4-5 in countries where the high cost of rHuEPO did not limit number of patients who can benefit from this treatment. In this period, Hb targets also increased progressively, often into the range of normal values. The idea of a complete correction of anemia was based on improving outcomes in the medium and long term reported in observational studies [8,59-61]. The US Normal Hematocrit Trial by Besarab *et al.* [16] was the first of a series of randomized controlled trials (RCTs) which cast serious doubt on the assumption that full anemia correction should be achieved in the majority of dialysis patients. Patients who achieve a normal Hb showed a greater number of myocardial infarcts, primary events and deaths than those in which anemia was partially corrected anemia with epoetin. The study was stopped early due to inability to prove the primary hypothesis.

The double-blind Canada-Europe trial by Parfrey *et al.* [61] in CKD 5HD patients without symptomatic heart disease (18% with diabetic nephropathy) failed to demonstrated a beneficial effect on left ventricular volume and mass index in the full anemia correction regime using epoetin-alfa (Hb target=13.5–14.5 g/dL), compared to partial correction one (Hb target=9.5–11.5 g/dL).

In the CREATE study by Druke *et al.* [62] in CKD stage 3-5 patients, was not demonstrate a superiority of full anemia correction (Hb target=13.0–15.0 g/dL) in terms of cardiovascular events, as compared to partial correction of anemia (Hb target=10.5–11.5 g/dL), when starting ESA therapy at an earlier stage than end-stage renal disease (ESRD) using epoetin-beta. Dialysis therapy was required in

significantly more patients in the high Hb group than in the low Hb group. However the rate of fall of GFR in the two groups during the 3 year study was similar. Also the US CHOIR study by Singh *et al.* [63] was prematurely stopped after an interim analysis with a median study duration of 16 months. This study failed to demonstrate a superiority of full anemia correction (Hb targets=13.5 g/dL) by ESA administration in terms of cardiovascular events and death, as compared to partial treatment of anemia (Hb targets=11.3 g/dL), in patients with CKD 3–4 patients using epoetin alfa.

Finally, the international trial of darbepoetin-alfa in type 2 diabetes and CKD (TREAT) by Pfeffer *et al.* [64] that examined cardiovascular and kidney outcomes in CKD 3–4 patients, not significant differences were found neither in cardiovascular event and death nor in ESRD, in patients with full anemia correction (Hb targets=12.5 g/dL) compared partial treatment of anemia (Hb targets=10.6 g/dL),

Assessment of ESAs in CKD using meta-analysis is problematic because of the heterogeneity of patients entered, the different quality and research designs of the RCTs performed, and differences in definitions of endpoints. The most recent meta-analysis [65] concluded that higher Hb concentrations in CKD increases risk for stroke, hypertension, and vascular access thrombosis, and may perhaps increase risk for death, serious cardiovascular events or ESRD.

According to the interpretations of the combined results of the recent major RCTs, the target Hb values exceeding 11.5 g/dL in adult CKD patients can cause more harm than benefit. The update of the 2006 KDOQI anemia guideline in 2007, had already led to the recommendation to limit the upper the target Hb to 12 g/dL, and do not exceed 13 g/dL [23].

Regarding the initiation of therapy ESA, dose adjustments ESA and rates of change, the objective is a rate of increase in Hb concentrations of 1.0 to 2.0 g/dL per month [22]. The Hb rate of increase varies greatly as a function of individual ESA responsiveness. Poor responders are more likely female, patients with history of cardiovascular disease, iron deficiency and inflammation, and overweight [26]. The response also depends on initial dose, dosing frequency, and route of administration. However, the last two concern epoetin-alfa, epoetin-beta, and darbepoetin but not CERA (continuous erythropoietin receptor activator [methoxy polyethylene glycol-epoetin-beta]). Table 3 compares the different erythropoietin-stimulating agents.

Epoetin-alfa or epoetin-beta dosing usually starts at 20 to 50 IU/kg body weight three times a week. Darbepoetin-alfa dosing usually starts at 0.45 mg/kg body weight once weekly by subcutaneous (SC) or IV administration, or 0.75 mg/kg body weight once every 2 weeks by SC administration. CERA dosing starts at 0.6 mg/kg body weight once every 2 weeks by SC or IV administration for CKD ND and CKD 5D patients, respectively, or 1.2 mg/kg body weight once every 4

**Table 3.** Comparison between erythropoietin-stimulating agents.

	Brand	Half-life	FDA approval
<b>Erythropoietin alpha</b>	Epogen Procrit Eprex	IV: 4-13 hs SC: 13-37 hs	Approved
<b>Erythropoietin beta</b>	NeoRecormon	IV: 4-12 hs SC: 8-22 hs	Approved in Europe
<b>Darbepoietin</b>	Aranesp	IV: 23-39 hs SC: 21-144 hs	Approved
<b>C.E.R.A.</b>	Mircera	IV: 4-134 hs SC: 21-144 hs	Approved

C.E.R.A. = methoxy polyethylene glycol-epoetin-beta

weeks by SC administration for CKD ND patients. Higher baseline Hb concentrations require lower initial ESA doses, except for CERA for which there is no initial dose change.

However, ESA requirements should be evaluated or reevaluated each time a patient with CKD is hospitalized. ESA responsiveness may be modified profoundly during Disease states such as severe infections or postsurgery.

In recent years, the scenario of ESA has become complex due to the appearance of biosimilars epoetin in trying to contain production costs.

The European Union is currently the most advanced region in terms of having a developed regulatory pathway for biosimilar products. Medicines legislation creating the regulatory pathway for biosimilars was introduced in Europe in 2004. The European Medicines Agency (EMA)/Committee for Human Medicinal Products (CHMP) issued an overarching biosimilars guideline [66]. To obtain marketing authorization for a biosimilar, comparative quality studies with an approved reference epoetin, and non-clinical and clinical safety and efficacy studies are required. Two biosimilar epoetins (substances HX575 and SB309) proved sufficient analogy to the innovator epoetin alfa (Eprex®/Erypo®) in preclinical and clinical studies according to the EU guidelines. HX575 has been approved under three different trade names: Binocrit® (Sandoz), Epoetin alfa Hexal® (Hexal Biotech) and Abseamed® (Medice Arzneimittel Putter). These co-marketed products are true 'biodenticals' which may be substituted among themselves. Epoetin zeta or SB309 has been approved under two different trade names: Silapo® (Stada) and Retacrit® (Hospira), which are biodenticals among themselves.

The Australian Therapeutic Goods Administration (TGA) has approved the 'epoetin lambda' (Novicrit®, Novartis Pharm, Australia) with sufficient analogy to the epoetin alfa. An 'epoetin kappa' has been available in Japan since 2010, which was approved as a biosimilar to epoetin alfa. However, the isoelectric and isoform profile of epoetin kappa differs greatly from the profile of other epoetins. In 2009, S. Korea released a draft guidance on biosimilars and in the same year Singapore's drug regulation agency, the Health Sciences Authority (HSA), issued guidelines on the regulatory registration of biosimilars, which was mainly adapted from EMA biosimilar guidelines.

It is interesting to note that the naming of the various epoetins can differ between regions in the world (Table 4).

## Red cell transfusion to treat anemia in CKD

After the addition of ESAs to available treatments for anemia in CKD patients, there has been a marked decline in transfusion events in this population. Current anemia management guidelines recommend reaching the Hb target using the lowest possible ESA dosages to avoid the need for red blood cell transfusions [22]. Although transfusions

provide a rapid primary benefits of maintaining a sufficient oxygen carrying capacity improving anemia-related symptoms, and are considerably safer than in the past, transfusion-related risks persist.

Risks associated with blood transfusion include transfusion errors, volume and iron overload, hyperkalemia, citrate toxicity (leading to metabolic alkalosis and hypocalcemia), hypothermia, coagulopathy and immunologically-mediated transfusion reactions, all of which are uncommon. The most significant current risk of mortality from blood transfusion due to administrative error results in hemolysis (one in 60,000) and death (one in 600,000) [67].

The development of antibodies to human leukocytes antigen (HLA), can affect a patient's ability to receive organ transplants [68]. The risk of HLA sensitization after blood transfusion has changed over time probably, at least in part, due to changes in blood transfusion practices and the use of more precise methods to measure allosensitization. HLA sensitization was associated with several factors such as previous pregnancies and previous transplantation. Available data suggest that men have a much lower risk of HLA sensitization following transfusion than women, and women with multiple pregnancies have a much greater risk of HLA sensitization than nulliparous women. However, more recent data from the US Renal Data System (USRDS) 2010 Annual Report, [69] have challenged this assumption, suggesting that males receiving previous blood transfusions may also be at increased risk.

One of the most easily identifiable cause of transfusion-related morbidity and mortality in the United States is the transfusion-related acute lung injury (TRALI) [70]. However, because of the varied criteria used to diagnose this syndrome, the true incidence is not known. Estimated incidence of TRALI is 8 cases per 100,000 units of blood components transfused. Risk factors for TRALI are age and illness severity, and the risk for development of TRALI increases with the number of units transfused. TRALI is characterized by pulmonary edema, hypoxemia, respiratory distress, and radiographic evidence of new bilateral pulmonary infiltrates occurring within minutes to 6 hours after transfusion. Fever, tachycardia, cyanosis, hypotension, and frothy sputum may also be present.

Risks of transfusion transmitted viral infections are extremely low, currently estimated to be approximately one in  $1.4 \times 10^6$  to  $2.4 \times 10^6$  units for human immunodeficiency virus (HIV), one in 872,000 to  $1.7 \times 10^6$  for hepatitis C virus (HCV), and one in 58,000 to 149,000 for hepatitis B virus (HBV) [71]. Rigorous predonation screening has led to a rapid decline in prevalence of HIV and HCV in first time blood donors, with HIV decreasing from 0.03% (1991-1992) to 0.02% (1993-1996) and HCV decreasing from 0.63% (1992) to 0.40% (1996), despite an increase in prevalence in the general population for both HIV (0.3% in 1992) and HCV (1.8% in 1988-1994) [72]. The introduction of nucleic acid amplification testing (NAT) for HIV and HCV in 1999, further reduced the window period between a potential blood donor infection and detectability by screening tests at time of donation [73].

Certain urgent clinical situations as well as acute severe hemorrhage and unstable coronary artery disease, red cell transfusion may be needed for the immediate correction of anemia. Also urgent situation where surgery is required, transfusion may also be given to achieve rapid preoperative correction of Hb. In stable CKD patients, transfusion should be considered when ESAs are ineffective in the treatment of chronic anemia (hemoglobinopathies, bone marrow failure, ESA resistance). In all these situations, the Hb threshold for transfusion is uncertain but the treatment should be considered when the Hb is  $<7$  g/dL (Table 5).

**Table 4.** Comparison between biosimilar-epoetin.

	Brand	Half-life	FDA approval
<b>Erythropoietin alpha</b>	Binocrit Epoetin Alfa Hexal Abseamed	IV: 4-13 hs SC: 13-30 hs	Approved in European Union
<b>Erythropoietin zeta</b>	Silapo Retacrit	IV: 4-12 hs SC: 8-20 hs	Approved in European Union
<b>Epoetin lambda</b>	Novicrit	IV: 5-12 hs SC: 6-15 hs	Approved in Australia
<b>Epoetin kappa</b>	JR-013 JCR	IV: 4-14 hs SC: 5-20 hs	Approved in Japan

**Table 5.** Clinical indications for red cell transfusion in Chronic Kidney Diseases patients.

Acute clinical situations	Chronic clinical situations
Acute severe hemorrhage	Chronic anemia and ESAs are ineffective (hemoglobinopathies, bone marrow failure, ESA resistance)
Unstable coronary artery disease	
When rapid preoperative anemia correction is required	

ESA: erythropoiesis-stimulating agent

## Conclusion

Anemia is a frequent complication in CKD, and it is often accompanied by various clinical symptoms, morbidity, and prognosis associated with reduced kidney function determining poor quality of life.

Anemia might begin to develop in the early stages of CKD, with a reduction of 20 to 50 percent of normal kidney function, and tends to worsen as CKD progresses.

The etiology of CKD-related anemia is multifactorial. The main cause is the deficiency in the production of erythropoietin, with the consequent reduction of red blood cells by the bone marrow, inducing a deprivation of oxygen levels in the blood that causing anemia. Other common causes of CKD-related anemia include blood loss from hemodialysis and low levels of iron, vitamin B12 and folic acid.

In all CKD patients, complete blood count, hemoglobin and hematocrit concentration, mean corpuscular volume, reticulocyte count, vitamin B12, folic acid, and iron status (determination of ferritin, and TSAT) should be measured as part of anemia workup. Inflammatory markers determination, as well as high sensitivity C-reactive protein may help in etiological framework.

Iron deficiency, should be treated and its causes established. It is possible to supplement iron orally or intravenously, while it is not recommended the use of intramuscular via. Since each administration route presents potential advantages and limitations, a careful evaluation should be performed in any patient to be treated.

If Hb is less than 11 g/dL, ESA therapy initiation should be discussed with the patient with focus on potential risks and benefits. It appears from available data that higher Hb targets are not beneficial and potentially harmful. Current K-DOQI guidelines advise for a Hb target of 11 to 12 g/dL without exceeding 13 g/dL. Laboratory testing should be repeated monthly until ESA dose is stable. Hb level and iron markers should be followed every 3 months during stable ESA treatment.

Red blood cells transfusion in CKD patients should be avoided as much as possible. It is well known that transfusions may induce the formation of HLA antibodies that can reduce the success of future kidney transplantation. In addition, transfusions may cause hypervolemia and symptoms of congestive heart failure, particularly in elderly patients. The K-DOQI guidelines state that no single Hb level should justify or require transfusion. Risks and benefits of transfusion therapy should be considered in individualized patients.

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