# **Research Article**



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# Iranian participatory research impacts: A knowledge translation perspective

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## Abstract

Purpose: The aim of this study is to examine the impacts of Iranian participatory research in practice.

**Materials/methods:** A review of participatory studies conducted to find out published articles (Persian or English) in Iran. The keywords "knowledge translation, knowledge utilization, participatory research, participatory action research, outcome assessment, impact assessment, results" were searched in several domestic and international databases such as Pubmed, Medline, Embase, Iranmedex, SID and Iranpsych. This study included papers published during 2006-2008, to ensure that enough time (ten years) had passed for changes to track the short and long-term effects of researches in the next part as a cross-sectional study.

**Results:** The findings of the cross-sectional study indicated that the greatest changes occurred at participation (92.3%) and empowerment (76.9%) levels in people/ patients; participation and capacity building (38.5%) in researchers; participation and awareness (30.8%) in health care providers groups of stakeholders. Also, the highest scores were attributed to inter-sectoral collaboration (38.5%, 53.8%) and decision-making (30.8%, 38.5%) in health policy-makers and organizational managers, respectively.

**Conclusions:** Community-based participatory research can have a great impact on community participation in the research process, as well as on capacity building and knowledge sharing among researchers. It can also have moderate effects on improving managerial decision-making and inter-sectoral collaboration among organizational managers.

# Introduction

Community-based participatory research (CBPR) and Knowledge Translation (KT) are approaches to research that make contributions to the practice [1]. The main purpose of the KT process is to bridge the know-do gap, ensuring that research is used to improve health outcomes [2]. Better use of research knowledge in practice takes place within a complex system of interactions and partnerships between researchers and knowledge users [1].

CBPR presumes that social changes can be facilitated by involving partners who are affected by the issue and empowering them at various stages, from the definition of the research question to the interpretation and publication of the results [3-5].

Iran is one of the first developing countries adopting the CBPR approach to address public health. So, several studies have conducted by different organizations from 2001 [6-11]. Whether participatory research and other types of research can create changes has always been a concern [12].

A few studies conducted to examine the impacts of health research in the realms of capacity-building, decision-making, health and economics [13-16]. The only study carried out employing the Payback model to assess the effectiveness of health research in Iran demonstrated that 59.7% of studies caused changes in the areas of local decision-making (29%), executive organizational instructions, rules

or guidelines (16.3%), systematic reviews (12%), and clinical or public health guidelines (11.7%) [17].

Since there are limited studies on the effects of participatory research knowledge translation in practice, this study aimed to answer the following questions:

- 1. What kinds of changes in practice are reported by corresponding authors of participatory research studies?
- 2. What are the barriers and solutions to apply research results in practice?
- 3. The results of this study can provide recommendations to use participatory research for making a change in the fields of community participation, policy-making, health-care service delivery, and management.

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# Methods

A review of participatory studies conducted to find out published articles (Persian or English) in Iran. The keywords "knowledge translation, knowledge utilization, participatory research, participatory action research, outcome assessment, impact assessment, results" were searched in several domestic and international databases such as Pubmed, Medline, Embase, Iranmedex, SID and Iranpsych. This study included papers published during 2006-2008, to ensure that enough time (ten years) had passed for changes to track the short and longterm effects of researches in the next part as a cross-sectional study.

First, all retrieved articles were scanned by title and any irrelevant studies were removed. Next, two independent reviewers assessed the abstracts of studies for inclusion in the cross-sectional study. The the text of remaining papers were examined in terms of compliance with 10 participatory research principles [18,19]. The reviewed studies that met 70 percent of CBPR principles were included. In cases of dispute between the two reviewers, consensus were reached through negotiation.

A cross-sectional study was carried out to examine the effects of retrieved participatory research studies in practice. The samples consisted of the corresponding authors of those papers publishing during 2006-2008. The reason for the selection of these authors was the availability of their e-mail address and contact information. The sample size was equal to the number of papers compiled in the study, meeting the inclusion criteria (n=28). Due to a lack of access and collaboration, and changing phone numbers and e-mails contained in the papers, ultimately a total of 13 subjects completed the questionnaires and participated in the subsequent interviews.

A self-administrated questionnaire containing open and closed items designed on the basis of the literature review. The scope of items covered: 1-project stakeholders (people/patients, health-care providers, health managers/policy-makers, organizational managers and researchers), 2-stakeholder involvement in the research process (selecting the research topic, study design, implementation, evaluation and dissemination of project results), 3-the ways of dissemination of results among the stakeholders (holding training workshops, conferences, radio, television and internet-based programs, using pamphlets, bulletins or newsletters, reports, policy briefs, etc.), 4-type of changes for each stakeholder groups, 5-Extent of changes (low, moderate, high), 6-predictability of changes (yes or no) 7-measures taken for sustainability (yes or no) 8-Time of change (during implementation, 1-3 years, 3-5 years or more than 5 years after project) 9-obstacles of change and 10-appropriate solutions for putting the research results into practice for different stakeholders.

The types of changes experienced in the people/patient group were considered as: informing about projects by training programs (nominal participation), participating in completion of each stage (instrumental participation), participation of representatives in the project stages (representative participation), empowerment (participation in decision-making), justice and equality (equal accessibility of health facilities and services to everyone regardless of gender, race, religion, etc.), and ownership (sense of ownership and responsibility towards the research process). In the researchers' group, the changes included: capacity building (improving skills and abilities of individuals and communities) and knowledge sharing (sharing and dissemination of information and knowledge among individuals).

In the policy-makers group changes included developing policymaking guides (guidelines, manuals, etc.). In the organizational Open-ended questions were used to extract the obstacles and solutions to improving KT in participatory research. The comprehension of items on the part of respondents was examined through a pilot study on 20 subjects. Then, content validity of the questionnaire was evaluated by employing expert opinion. At the next stage, Also, the reliability of the questionnaire was confirmed through the test-retest method ( $\alpha$ =0. 72).

The questionnaire was sent via email to the corresponding authors who were then interviewed by phone. Data collection lasted three months. During the phone call, the project objectives were firstly explained to the participants, to whom consent forms were sent via email. Data analysis was performed through descriptive statistics. The open items were analysed using qualitative content analysis. In this process, codes were firstly extracted directly and inductively from the raw data. Next, in each stakeholder group, the extracted codes were categorized into nine categories of problems and solutions.

#### Results

Among the 173 papers searched, duplicate and irrelevant studies were excluded based on their titles and abstracts. Of the remaining 94 papers, 79 were excluded due to failures to comply with the principles of participatory research. Finally, 28 papers were included in cross-sectional study (Figure 1) from which 89.3% of the included papers were in Persian and 10.7% were in English. The evaluation indicated that 35.7% of studies involved intervention (non-clinical trial), 28.6% were descriptive, 10.7% were clinical trials and 25% were qualitative.

#### **Description of participants**

61.5% of respondents were female, while the remaining 38.5% were male. 100% of respondents were academics, 38.4% of whom worked at research centers, 38.5% at universities, 7.7% at hospitals/medical education centers and 15.3% at the Ministry of Health and Medical Education.



Figure 1. Flowchart of included articles

#### Knowledge translation aspects of CBPR studies

The participants responded to questions about the project from which the paper derived. The respondents believed that the highest number of stakeholders in their research projects were people/patients (100%) followed by researchers (84/6%).

The results indicated that the majority of respondents (61.6%) mentioned four groups or more as stakeholders.

The results showed that people/patients were involved in the study design of 100% of the research projects. The health managers/policy-makers were involved in the dissemination of results (69.2%), while the organizational managers (38.5%), health-care providers (38.5%) and researchers (69.2%) made the greatest contribution during the process of project implementation.

The findings indicated that the results of participatory studies were disseminated to the people/patients group by printed media (69.2%), and mass media (61.5%). In the health-care providers group, the results were communicated through short reports and to organizational managers (100%) followed by print media (30.5%). In the health managers/policy-makers group, the results were presented through mass media (100%), and sending short reports to managers (53.5%). In the researchers' group, mass media were used to communicate the research results (100%).

The results show that in the people/patients group, most changes occurred at the participation (92.3%), empowerment (76.9%) and informing (69.2%) levels of participation. In health-care providers group, the greatest changes occurred at participation and informing (30.8%) levels.

In the health managers/policy-makers group, the most changes occurred in inter-sectoral collaboration (38.5%) and decision-making (30.8%). In the organizational managers' group, the respondents assigned the highest scores of changes to inter-sectoral collaboration (53.8%), decision-making and expansion of knowledge sharing (38.5%) and policy-making (30.8%). In the researchers' group, the greatest changes were reported in their levels of participation, capacity building and knowledge sharing (38.5%).

The findings mentioned that in the researcher's group, changes occurred in more than seven areas (empowerment, participation,

capacity building, knowledge sharing, knowledge, attitude and practice) (23.1%).

Based on our findings, changes were extensive in stakeholders' participation in five studies (38.5%), as well as changes related to empowerment and knowledge sharing in three studies (23.1%). The rate of change was moderate in inter-sectoral collaboration as well as in decision-making, empowerment, participation, ownership, knowledge, attitude and practice at 30.8% (Table 1).

From the perspective of respondents, the actions taken to maintain and sustain the changes were reported mostly in the areas of decisionmaking, empowerment, participation, and knowledge in 23.1% of studies and the respondents mentioned most changes took place during the project implementation stage.

Table 2 displays the reasons for the non-occurrence of changes and suggests solutions from the perspective of respondents for increasing the use of research results in different groups of stakeholders.

#### Discussion

This study attempted to determine how the results of participatory research conducted through a participatory research approach in Iran are put into practice and to propose solutions for improving the KT process.

Results showed that the largest stakeholder groups were people/ patients, researchers, health managers/policymakers and health-care providers, consequently. In CBPR studies people are considered as the main stakeholders since they are more frequently affected by the issues of concern [20], a fact that has also been confirmed in this study.

The findings related to engaging stakeholders in the research process revealed that people and researchers were the most frequently involved stakeholders in all project stages, while organizational managers and policy-makers were mostly involved in the dissemination of results. Inconsistent with the results of this study, the review of relevant papers showed the involvement of researchers and people in defining the research question and recruiting voluntary participants, as well as at the design, implementation of intervention and interpretation of findings stages [19,21].

Table 1	. The extent	of changes	according to s	takeholder groups	from the perspectives	of corresponding authors
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Stakeholder group	The extent of changes Type of Changes	Low n (%) N=13	Moderate n (%) N=13	High n (%)	Unknown n (%) N=13
	Policy making*	2 (15.4)	1 (7.7)	2 (15.4)	8 (61.5)
srs/	Decision making*	1 (7.7)	4 (30.8)	-	8 (61.5)
olic	Type and ways of service delivery*	-	-	2 (15.4)	11 (84.6)
m p Mai	Practice*	1 (7.7)	4 (30.8)	1 (7.7)	7 (53.8)
	Inter-sectoral collaboration*	-	6 (46.2)	1 (7.7)	6 (46.2)
	Empowerment**	1 (7.7)	4 (30.8)	3 (23.1)	5 (38.5)
ents	Participation**	1 (7.7)	4 (30.8)	5 (38.5)	3 (23.1)
pati	Equity**	-	3 (23.1)	1 (7.7)	9 (69.2)
ple/	Knowledge**	1 (7.7)	4 (30.8)	2 (15.4)	6 (46.2)
Peol	Ownership**	-	4 (30.8)	1 (7.7)	8 (61.5)
	Attitude**	2 (15.4)	4 (30.8)	-	7 (53.8)
rchers	Capacity building***	2 (15.4)	3 (23.1)	1 (7.7)	7 (53.8)
Resear	Knowledge sharing***	-	3 (23.1)	3(23.1)	7 (53.8)

People / patients		Health-care providers (nurses, physicians, midwives, etc.)		Health managers / policy- makers		Organizational Managers (welfare Org, municipalities, Education Org, etc.)		Researchers	
Problem	Solution	Problem	Solution	Problem	Solution	Problem	Solution	Problem	Solution
Leaving the community after the grant ends	-Collaborative data analysis and dissemination with the community that improves their ownership Following up the research participants after the project -Voluntary involvement of community members and stakeholders in the design and conduct of programs -Sharing information on the practice and results through	SolutionProblemSolutionProblemIlaborative data analysis dissemination with the imunity that improves r ownership Following up research participants after project                                      	-Preparation of practical guidelines and training packages -Increasing knowledge of health service providers to apply the results -Capacity building in service providers for collaborative working	Failure to use appropriate mechanisms for knowledge translation	-Capacity building to improve commitment in managers and policy-makers to utilize research findings. -Providing a continuous feedback system -Engagement on the part of policy- makers since the initial problem statement -Preparing a summary of results for adoption -Devising supportive policies and structures for participatory research so that knowledge	Insufficient cooperation from authorities for the application of research results	-Advocacy for managerial corporate support and lobbying for the adoption of results -Greater attention to corporate interests -partnership building of organizations under land-use planning - putting priorities in the agenda and annual operating plans of organizations	Inadequate funding and time	-Persistence in participatory research projects funding through integration with existing programs -Resource mobilization and attracting external funding support for knowledge translation activities - Considering research translation as an iterative process and defining long term continuous projects
	<ul> <li>local media outlets</li> <li>-Mobilization and reorganization of community in priority topics after completion project cycle</li> <li>-Training of community members about implementation and research</li> <li>-Assessing the new needs of the target population and defining new culturally supported interventions</li> <li>- Designing attractive messages from the results and the ways for applying them</li> </ul>					Poor inter-sectoral collaboration	-Creating a sense of trust by dialogue and reflection fostered by CBPR. between organizations to enhance knowledge translation throughout partnerships networks - Using formal agreements and Memorandums -	Inefficient research infrastructures	-Defining a web- based KT plan format for principal investigators for submitting their plans and allocating budget for approved plans
				translation is strengthened and continued.	Lack of pragmatism	-Developing an annual operational plan	Lack of motivation	Valuing and rewarding research- based knowledge translation efforts in the promotion and tenure process to encourage researchers to apply results of community-engaged research	

#### Table 2. Problems and proposed solutions to strengthen the utilization of research results in different groups of stakeholders

In the people group, the project results were disseminated mostly through pamphlets, bulletins, and newsletters; through short reports in the health-care providers group; through mass media in the policy-makers, organizational managers and researchers groups. In the study by Nedjat *et al.* sending reports to stakeholders was a more frequent measure than the paper publication [22]. It seems that dissemination of knowledge mostly reported as a unilateral effort.

Participants reported empowerment as the greatest change that is occurred in the group of people/patients, while in the health managers/ policy-makers groups changes occurred in policies and inter-sectoral collaboration. In a study conducted in Hong Kong, 35.4% and in the studies conducted in Australia and the UK 31% and 13% of researches affected the formation of policies (including guidelines and protocols) and the other steps of the policy-making process, respectively [14,15]. Consistent with the findings, the overview of successful models of CBPR indicates that this type of research has a positive impact on the empowerment of the community in identifying and solving their problems and collaborative action to change policies [23,24].

Results showed that in the organizational managers' group, the greatest changes were attributed to the areas of inter-sectoral collaboration, managerial decision-making, and knowledge sharing. The overview of case studies conducted via participatory research demonstrated the effects of this approach on inter-sectoral collaboration, the formation of inter-organizational networks and knowledge sharing [25,26].

The findings indicated that the most reported changes in the researchers' group were related to improving participation, knowledge sharing, and capacity building. The findings of Reed *et al.* show the positive impact of research on the capacity of health researchers [15]. The findings of Malek Afzali *et al.* show that the academics who involved in CBPR projects, assigned high scores to co-learning, opportunities to participate in research, and reaching agreement on the dissemination of findings [27], which is consistent with the findings of this study.

Participants reported that projects had a high impact on the participation and a moderate impact on the inter-sectoral collaboration, managerial decision-making, empowerment, ownership, knowledge, and attitude and practice areas. In a systematic review, changes were also related to knowledge and attitude (HIV/AIDS prevention), capacity building and community participation (screening by Pap smear), intersectoral collaboration (creating healthy villages), behavior/practice (increased use of condoms, healthy food) [21].

The results showed that the major obstacles to the adoption of research results in most areas were lack of time, inadequate funding, and the weakness of existing infrastructures. Wallerstein *et al.* similarly pointed out insufficient funds to sustaining the research results as an obstacle [24]. Other studies mentioned the challenges such as lack of time and budget too [28,29]. Moreover, Nedjat *et al.* pointed to the ineffective research infrastructures to disseminate research results as one of the major obstacles [30].

From the solutions proposed by participants for different groups of stakeholders, some recommendations can be summarized:

- 1. Adoption of an appropriate communication strategy for each group of stakeholders.
- 2. Engaging the stakeholders at all stages, capacity building on knowledge translation practices.
- 3. Outlining flexible organizational structures that enable collaboration and teamwork.
- 4. Adoption of a plan-oriented, long-term approach to implementation of research results.

#### Conclusion

CBPR can have a great impact on community participation in the research process, as well as on capacity building and knowledge sharing among researchers. It can also have moderate effects on improving managerial decision-making and inter-sectoral collaboration among organizational managers. However, there are certain obstacles in practice which have been explored in this study and some solutions are proposed.

#### Limitations

The limitations of this study were the number of papers and the fact that not all researchers in this field had published the outputs of their works in the form of a paper. Thus, the results can barely be generalized. Another limitation is the unavailability of corresponding authors for reasons such as incorrect email addresses or phone numbers, unwillingness to participate in the interview, or failure to answer the phone/emails. Also, the research questions were asked from the corresponding authors, but it would have been better if the research team had asked questions directly from the stakeholder groups of the projects. This could create a triangulation to enrich and validate the data.

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# Authors' contributions

Conceived, development and design of methodology in the study: NL, EJ, JA, KM and RM. Helped to data collection: NL and EJ. Analyzed the data: EJ, JA, KM and NHG. Wrote the paper: NL, EJ, KM and JA. All authors read and approved the final manuscript.

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#### Availability of data and materials

The datasets generated during the current study available from the corresponding author on reasonable request.

#### Ethics approval and consent to participate

This research was ethically approved by the research committee of I. R. Iran's National Institute of Health Research, Tehran University of Medical Sciences No. 91281. Informed consent forms were completed and the Declaration of Helsinki was followed in all stages of the research.

#### **Consent for publication**

Not applicable.

#### **Competing interests**

The authors declare that they have no competing interests.

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